

Politics of Developing Nations: Democratization in Comparative Perspective

University of Wisconsin-Milwaukee

Fall 2013

Political Science 952

Tuesday 4:00-6:45

BOL 262

Professor Natasha Borges Sugiyama, Ph.D.
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(*NOTE SPELLING OF ADDRESS)

COURSE DESCRIPTION

This course provides an overview of the literature in comparative politics on democratization. We will address longstanding conceptual questions including definitions of democracy, democratic transitions, and consolidation. The seminar will also tackle debates related to the preconditions for democratization and as well as different accounts for democratic successes and failures. Readings will draw on case studies from around the world, including: Asia, Europe, Middle East, Latin America, and the former Soviet Republics. Throughout the semester we will examine a variety of analytic approaches and methodologies scholars employ in the subfield of comparative politics.

This course draws on several types of readings: classics in democratic theory and democratization, as well as contemporary analysis of current political processes. Thus, we will read works that are varied in length and style. As the field of comparative politics includes scholarship that appears in full-length monographs, the syllabus includes some books to be read in their entirety. Other readings appear in the form of articles and book chapters.

Books to Purchase:

The following books are available for purchase at the UWM bookstore in the Union.

Required:

Moore, Barrington. 1966. *Social origins of dictatorship and democracy; lord and peasant in the making of the modern world*. Boston, MA: Beacon Press.

O'Donnell, Guillermo, and Schmitter, Philippe C. 1986. *Transitions from Authoritarian Rule: Tentative Conclusions about Uncertain Democracies*. Baltimore: Johns Hopkins University Press.

Putnam, Robert. 1993. *Making democracy work: civic traditions in modern Italy*. Princeton, NJ: Princeton University Press.

Przeworski, Adam, Michael E. Alvarez, Jose Antonio Cheibub, and Fernando Limongi. 2000. *Democracy and Development: Political Institutions and Well-Being in the World, 1950-1990*. New York, NY: Cambridge University Press.

Yashar, Deborah. 1997. *Demanding Democracy: reform and reaction in Costa Rica and Guatemala, 1870s-1950s*. Stanford, CA: Stanford University Press. ** Out of Print **

Optional:

Linz, Juan J. and Alfred Stepan. 1990. *Problems of Democratic Transition and Consolidation*. Baltimore, MD: Johns Hopkins University Press.

ASSIGNMENTS

I) In-Class Participation (20%)

This is a discussion-based course that requires regular and active participation on a weekly basis. All class members should come to the weekly seminar with comments, questions, and having completed all the course readings thoroughly.

II) Weekly Short Papers (60%)

Students will submit weekly short papers (3-4 pages). Each paper will address the entirety of the week's assigned reading. The papers should go beyond summary to critically analyze the core themes, debates, methods, and approaches employed by the authors. Short papers are **due in hard copy on the day the readings are to be discussed** in class. The lowest paper grade will be dropped.

III) Final Exam (20%)

The exam will be comprehensive in scope (i.e. include all course reading materials) and questions will be similar in vein to the doctoral prelim exams in political science, which includes broad themes on theories of democratization, democracy-building, and democratic governance. This take home exam will simulate a doctoral preliminary exam. I.e. students will complete the exam in one day (6 hours) and answer two questions. One important difference however, is that students will be able to refer to their course notes and materials. No other materials (e.g. classmates, friends, Internet searches, book reviews, etc.) will be allowed for consultation. The window to take the exam as well as further instructions on its administration will be provided at the end of the semester.

COURSE POLICIES

Academic Misconduct: Students who violate University rules on scholastic dishonesty are subject to disciplinary action and disciplinary sanctions by the University. Since such dishonesty harms the individual, all students, and the integrity of the University, policies on academic misconduct will be strictly enforced. For more information, see:

<http://www.uwm.edu/Dept/OSL/DOS/conduct.html>

Disability Accommodations: At the beginning of the semester, students with disabilities who need special accommodations should notify the instructor by presenting a letter prepared by the Student Accessibility Center (SAC). To ensure that the most appropriate accommodations are provided, students should contact the SAC Office. For more information, see:

<http://www.uwm.edu/Dept/DSAD/SAC/SACltr.pdf>

Religious Beliefs Accommodation: On occasion, students may find it necessary to miss class in order to observe a religious holiday. In order to ensure reasonable accommodation, students should inform the instructor of the conflict prior to the holiday. Any conflicts with assignments, tests, or other coursework must be addressed before the due date. For more information, see

http://www.uwm.edu/Dept/SecU/acad%2Badmin_policies/S1.5.htm

Incompletes: For more information on UWM's policy on incompletes, see:

http://www.uwm.edu/Dept/SecU/acad%2Badmin_policies/S31.pdf

Military Duty: Students called up for active military duty should contact the instructor for accommodation. For more information on the university's policies, see:

<http://www3.uwm.edu/des/web/registration/militarycallup.cfm>

Discriminatory Conduct: For information on definitions of discrimination, harassment, abuse of power, and the reporting requirements of discriminatory conduct, see:
http://www.uwm.edu/Dept/SecU/acad%2Badmin_policies/S47.pdf

Student Privacy: For information on the Family Educational Rights and Privacy Act (FERPA) of 1974 and compliance at UWM, please consult:
http://www4.uwm.edu/current_students/records_grades/ferpa.cfm.

SEMINAR MEETING CALENDAR

Tuesday, September 3rd

Introduction to the Course

No readings

Tuesday, September 10th Concepts: Democracy, Democratization, and Consolidation

Dahl, Robert A. 1971. *Polyarchy: Participation and Opposition*. New Haven, CT: Yale University Press., pp. 1-16, 246-249. [E-Reserves]

Schmitter, Philippine C. and Terry Karl. 1991. What Democracy Is ...And Is Not. *Journal of Democracy*, Vol. 2(3): 75-88. [D2L]

Collier, David and Steven Levitsky. 1997. Democracy with Adjectives: Conceptual Innovation in Comparative Research. *World Politics* 49 (April): 430-451. [D2L]

Schedler, Andreas. 1998. What is Democratic Consolidation? *Journal of Democracy*, Vol. 9(2) (April): 91-107. [D2L]

Linz, Juan J. and Alfred Stepan. *Problems of Democratic Transition and Consolidation: Southern Europe, South America, and Post-Communist Society*. Baltimore, MD: Johns Hopkins University Press. Chapter 1, pp. 3-15. [E-Reserves]

Recommended further reading:

O'Donnell, Guillermo. 1994. Delegative Democracy. *Journal of Democracy*, Vol. 5 (1) (January): 55-69. [R]

Sartori, Giovanni. 1970. Concept Misformation in Comparative Politics. *American Political Science Review*, Vol. 64(4) (December): 1033-1053.

Tuesday, September 17th

Historical Approaches

Moore, Barrington. 1966. *Social Origins of Dictatorship and Democracy: Lord and Peasant in the Making of the Modern World*. Boston, MA: Beacon Press., pp. xi-xxv, chapters 1-2, 7-9, Epilogue.

Rueschemeyer, Dietrich, Evelyne Huber, and John D. Stephens. 1992. *Capitalist Development and Democracy*. Chicago, IL: University of Chicago Press., chapter 3. [E-Reserves]

Recommended further reading:

Collier, David, and Ruth Berins Collier. 1991. *Shaping the political arena: critical junctures, the labor movement, and regime dynamics in Latin America*. Princeton, NJ: Princeton University Press.

Polanyi, Karl. 1944. *The Great Transformation: The Political and Economic Origins of our Time*. Boston, MA: Beacon Press.

Tuesday, September 24th

Modernization Theory and Democratization

Lipset, Seymour. 1959. Some Social Requisites of Democracy: Economic Development and Political Legitimacy. *American Political Science Review*, Vol. 53(1) (March): 69-105. [D2L]

Przeworski, Adam, Michael E. Alvarez, Jose Antonio Cheibub, and Fernando Limongi. 2000. *Democracy and Development: Political Institutions and Well-Being in the World, 1950-1990*. New York, NY: Cambridge University Press.

Mainwaring, Scott, and Aníbal Pérez-Liñán. 2003. Level of Development and Democracy: Latin American Exceptionalism, 1945-1996. *Comparative Political Studies*, Vol. 36(9): 1031-1067. [D2L]

Recommended further reading:

O'Donnell, Guillermo. 1973. *Modernization and Bureaucratic-Authoritarianism: Studies in South American Politics*. Berkeley, CA: University of California Press.

Tuesday, October 1st

Processes-Oriented Approaches

Linz, Juan J. and Alfred Stepan. 1990. *Problems of Democratic Transition and Consolidation*. Baltimore, MD: Johns Hopkins University Press. Chapters 2-5. [Reserves]

Karl, Terry Lynn. 1990. Dilemmas of Democratization in Latin America. *Comparative Politics*, Vol. 23(1): 1-21. [D2L]

Kitshelt, Herbert. 1992. Political Regime Change: Structure and Process-Driven Explanations? *American Political Science Review*, Vol. 86(4): 1028-1034. [D2L]

Carothers, Thomas. 2002. The End of the Transitions Paradigm. *Journal of Democracy*, Vol. 13(1): 5-21. [D2L]

Tuesday, October 8th

Social Classes: Elites vs. Workers

** Note: I will be giving a lecture at UW-WC the evening of October 8th. We will need to reschedule our session. **

O'Donnell, Guillermo, and Schmitter, Philippe C. 1986. *Transitions from Authoritarian Rule: Tentative Conclusions about Uncertain Democracies*. Baltimore: Johns Hopkins University Press. All.

Collier, Ruth Berins. 1999. *Paths Toward Democracy: The Working Class and Elites in Western Europe and Latin America*. New York: Cambridge University Press, Ch. 1, pp. 1-32 & Ch. 5, pp. 166-197. [E-reserve]

Bellin, Eva. 2000. Contingent Democrats: Industrialists, Labor and Democratization in Late-Developing Countries. *World Politics*, Vol. 52(January): 175-205. [D2L]

Tuesday, October 15th

Social Movements

** Note: I will be giving a talk in London for an ODI meeting this week. We will need to reschedule our session. **

Yashar, Deborah. 1997. *Demanding Democracy: reform and reaction in Costa Rica and Guatemala, 1870s-1950s*. Stanford, CA: Stanford University Press.

Tudor, Maya. 2013. Explaining Democracy's Origins: Lessons from South Asia. *Comparative Politics*, Vol. 54(3): 253-272.

Recommended:

Waylen, Georgina. 1994. Women and Democratization: Conceptualizing Gender Relations in Transition Politics. *World Politics*, Vol. 46(3): 327-54. [D2L]

Tuesday, October 22nd

Social Capital and Trust

Putnam, Robert. 1993. *Making Democracy Work: civic traditions in modern Italy*. Princeton: Princeton University Press.

Berman, Sheri. 1997. Civil Society and the Collapse of the Weimar Republic. *World Politics*, Vol. 49(3): 401-429. [D2L]

Mishler, William and Richard Rose. 2001. What are the Origins of Political Trust? Testing Institutional and Cultural Theories in Post-Communist Societies. *Comparative Political Studies*, Vol. 34, No. 1: 30-62. [D2L]

Tuesday, October 29th

Political Culture

Diamond, Larry. 1999. *Developing Democracy: Toward Consolidation*. Baltimore, MD: Johns Hopkins University Press. Chapter 5, pp. 161-217. [E-Reserves]

Inglehart, Ronald and Wayne E. Baker. 2000. Modernization, Globalization, and the Persistence of Tradition: Empirical Evidence from 65 Societies. *American Sociological Review* Vol. 65, No. 1 (February): 19-55. [D2L]

Lagos, Marta. 2008. Latin America's Diversity of Views. *Journal of Democracy*, Vol. 19(1): 111-125. [D2L]

Driessen, Michael D. 2012. Public Religion, Democracy, and Islam: Examining the Moderation Thesis in Algeria. *Comparative Politics*, Vol. 44(2): 171-189.

Recommended further reading:

Harrison, Lawrence E. and Samuel P. Huntington Eds. 2000. *Culture Matters*. New York, NY: Basic Books.

Tuesday, November 8th**Race, Ethnicity & Democratization**

Horowitz, Donald L. 1993. Democracy in Divided Societies. *Journal of Democracy*, Vol. 4(4): 18-38. [D2L]

Fish, M. Steven, and Robin S. Brooks. 2004. "Does Diversity Hurt Democracy?" *Journal of Democracy* 15, 1 (January): 154-166. [D2L Add]

Beissinger, Mark. 2008. "A New Look at Ethnicity and Democratization," *Journal of Democracy*, 19, 3: 85-97. [D2L Add]

Kopstein, Jeffrey S., and Jason Wittenberg. 2010. "Beyond Dictatorship and Democracy: Rethinking National Minority Inclusion and Regime Type in Interwar Eastern Europe." *Comparative Political Studies* 43, 8/9: 1089-1118. [D2L Add]

Recommended further reading:

Snyder, Jack. 2000. *From Voting to Violence: Democratization and Nationalist Conflict*. New York, NY: Norton.

Tuesday, November 15th**Economic Reform & Democratization**

Haggard, Stephan and Robert R. Kaufman. 1997. The Political Economy of Democratic Transitions. *Comparative Politics*, Vol. 29(3): 263-283. [D2L]

Weyland, Kurt. 1998. The Political Fate of Market Reform in Latin America, Africa, and Eastern Europe. *International Studies Quarterly*, Vol. 42(4): 645-673. [D2L]

Gallagher, Mary Elizabeth. 2002. "Reform and Openness": Why China's Economic Reforms Have Delayed Democracy. *World Politics*, Vol. 54(3): 338-372. [D2L]

Ross, Michael. 2001. Does Oil Hinder Democracy? *World Politics*, Vol. 53(3): 325-361. [D2L]

Recommended

Haggard, Stephan and Robert R. Kaufman. 1995. *The Political Economy of Democratic Transitions*. Princeton, NJ: Princeton University Press.

Tuesday, November 22nd**Persistent Authoritarianism**

Brownlee, Jason. 2007. *Authoritarianism in an Age of Democratization*. New York, NY: Cambridge University Press. Chapter 1 and Conclusion. [E-Reserves]

Greene, Kenneth. 2010. The Political Economy of Authoritarian Single-Party Dominance. *Comparative Political Studies*, Vol. 43(7): 1-17. [D2L]

Bellin, Eva. 2012. Reconsidering the Robustness of Authoritarianism in the Middle East: Lessons from the Arab Spring. *Comparative Politics*, Vol. 44(2): 127-149. [D2L Add]

Mainwaring, Scott. 2012. From Representative Democracy to Participatory Competitive Authoritarianism: Hugo Chávez and Venezuelan Politics. *Perspectives on Politics*, Vol. 10(4): 955-967. [D2L Add]

Tuesday, November 29th

Institutional Design

Presidentialism vs. Parliamentarism

Linz, J. 1994. "Presidentialism or Parliamentary Democracy: Does it Make a Difference?" in J. Linz and A. Valenzuela (eds.), *The Failure of Presidential Democracy: Comparative Perspectives*. Baltimore, MD: Johns Hopkins University Press. pp. 3-75. [E-Reserves]

Fish, M. Steven. 2005. *Democracy Derailed in Russia*. New York, NY: Cambridge University Press: Chapter 7, 193-245. [E-Reserves]

Mainwaring, Scott, and Shugart, Matthew. 1997. "Juan Linz, Presidentialism, and Democracy: A Critical Appraisal." *Comparative Politics*, Vol. 29(4): 449-471. [D2L]

Cheibub, José Antonio, and Fernando Limongi. 2002. Democratic Institutions and Regime Survival: Parliamentary and Presidential Democracies Reconsidered. *Annual Review of Political Science*, Vol. 5: 151-179. [D2L]

Electoral Systems

Mainwaring, Scott. 1999. *Rethinking Party Systems in the Third Wave of Democratization: The Case of Brazil*. Stanford, CA: Stanford University Press. Chapter 1 and 2, pp. 3-60. [E-Reserves]

Moser, Robert. 1999. Electoral Systems and the Number of Parties in Postcommunist States. *World Politics* Vol. 51(3) (April): 359-384. [D2L]

Recommended further reading:

Lijphart, Arend. 1999. *Patterns of Democracy: Government Forms and Performance in Thirty-Six Countries*. New Haven, CT: Yale University Press.

Reynolds, Andrew Ed. 2002. *The Architecture of Democracy: Constitutional Design, Conflict Management, and Democracy*. New York, NY: Oxford University Press.

Tuesday, December 6th

International Pressures & Democracy

Diffusion:

Brinks, Dan & Michael Coppedge. 2006. Diffusion is no Illusion: Neighbor Emulation in the Third Wave of Democracy. *Comparative Political Studies*, Vol. 39(4): 463-489. [D2L]

Weyland, Kurt. The Arab Spring: Why the Surprising Similarities with the Revolutionary Wave of 1848? *Perspectives on Politics*, Vol. 10(4): 917-934. [ADD to D2L]

Hyde, Susan. 2011. Catch us if you can: International Election Monitoring and Norm Diffusion. *American Journal of Political Science*, Vol. 55(2): 356-369. [ADD to D2L]

Democracy Assistance:

Finkel, S., Perez Linan, A., and Seligson M. 2007. The Effects of U.S. Foreign Assistance on Democracy Building, 1990-2003. *World Politics*, Vol. 59(3): 404-440. [D2L]

Pei, Minxin and Sara Kasper. 2003. Lessons from the Past: The American Record on Nation Building. Policy Brief: *Carnegie Endowment for Peace*, Vol. 24(May): 1-7. Available at: <http://www.carnegieendowment.org/files/Policybrief24.pdf>

Recommended:

Evans, Peter. 1997. The Ellipse of the State? Reflections on Stateness in an Era of Globalization. *World Politics*, Vol. 50(1): 62-87.

Hyde, Susan. 2012. *The Pseudo-Democrat's Dilemma: Why Election Monitoring Became an International Norm*. Ithaca: Cornell University Press.

Pevehouse, Jon C. 2005. *Democracy from Above: Regional Organizations and Democratization*. New York, NY: Cambridge University Press.

Tuesday, December 13th

Closing Perspectives

Doorenspleet, Renske. 2000. Reassessing the Three Waves of Democratization. *World Politics*, Vol. 52(3): 384-406. [D2L]

McFaul, Michael. 2002. The Fourth Wave of Democracy and Dictatorship: Noncooperative Transitions in the Postcommunist World. *World Politics*, Vol. 54(2): 212-244. [D2L]

Bunce, Valerie. 2003. Rethinking Recent Democratization: Lessons from the Postcommunist Experience. *World Politics*, Vol. 55(2): 167-192. [D2L]

Diamond, Larry. 2003. Universal Democracy? *Policy Review*. No. 119 (June & July). Hoover Institution, Stanford University. Available at:
<http://www.hoover.org/publications/policyreview/3448571.html>