

UNIVERSITY OF WISCONSIN-MILWAUKEE
POL SCI 789 THEORY AND ROLE OF NONPROFIT ORGANIZATIONS
SPRING 2009

Instructor: Grace L. Chikoto

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Class Meetings: Tuesdays: 5:30 PM – 8:10 PM

Class Location: MER 142

Office Hours: Tuesdays 3:30 – 4:30 PM, or by appointment

Course Description

This is a survey course and is designed to introduce students to the trends and issues in the nonprofit sector. In this course, we will investigate the purpose and scope of the nonprofit sector in the United States and internationally. We will focus on why nonprofit organizations form by examining the theories of nonprofit formation (.e.g., government failure, market failure). This course will also explore the diverse roles that the nonprofit sector plays in the economy, as well as the unique challenges and opportunities they encounter as they attempt to achieve their aims and goals, especially as they relate to government, the business sectors, and to one another through competition and collaboration. Finally, the course will take a cursory look at nonprofit sectors outside of the US. Overall, the class is designed to build students' awareness of the role and importance of the nonprofit sector, and the potential of the sector for employment.

Course Objectives

Readings, student presentations, discussions and written assignments are intended to help students think critically about the trends, opportunities and challenges in the nonprofit sector. Through this course, students will be better prepared for roles as citizens and as nonprofit and public sector leaders.

Upon completion of this course:

- Students will be familiar with the history and theories of the nonprofit sector.
- Students will have an understanding of the scope and significance of the nonprofit sector in the U.S. and as well as abroad.
- Students will have an appreciation of some of the important contemporary challenges faced by nonprofit leaders and the policy issues that confront the sector.
- Students will be exposed to a range of services and activities provided by nonprofits.

Course Requirements

- Each student must complete the assigned readings as scheduled and on time.
- Each student must be prepared to present their assigned topic at the appointed time
- Each student must actively participate in class discussions.
- Each student must complete all assignments and submit them on time.
- Each student must be respectful of other students' ideas and experiences.

Required Texts

1. Walter W. Powell and Richard Steinberg (eds.) (2006), *The Nonprofit Sector: A Research Handbook*, Yale University Press, New Haven.
2. Lester M. Salamon and Helmut K. Anheier (eds.) (1999), *Global Civil Society: Dimensions of the Nonprofit Sector*, The Johns Hopkins Comparative Nonprofit Sector Project, Baltimore, MD.

Grade Policy

Your final grade will be derived from your achievement of all course expectations. The Weighting below illustrates the percent of your course allotted to each assignment. Specific details for each assignment are provided below. Please feel free to discuss any of this with me before the due date. I will expect you to exhibit high cognitive skills on each of the assignments through skills of application, analysis, synthesis, and evaluation. Also consider the following general criteria:

- a. All assignments should be clearly written and well-developed. Be sure to revise and proofread so that your work is professional in appearance.
- b. All assignments should be typed, double-spaced, and in 12-point font that is easy to read.
- c. It is also crucial that you reference and cite all your work. I prefer that you use the *American Psychological Association Manual of Style*.
- d. Assignments should be submitted in class, unless otherwise stated. **The Take Home Mid-Term and the Research Paper should be placed in the DropBox via D2L.**

Grade Weight

<i>Individual Chapter/Topic Presentation</i>	10%
<i>Class Participation</i>	15%
<i>Take Home Midterm Exam</i>	20%
<i>Reviews and Matrix</i>	30%
<i>Final Research Paper</i>	25%
TOTAL	100%

Grade Calculations

The final grade will be calculated as follows:

<i>Grade</i>	<i>Overall Score</i>
A	95.00 – 100.00
A-	90.00 – 94.99
B+	87.00 – 89.99
B	83.00 – 86.99
B-	80.00 – 82.99
C+	77.00 – 79.99
C	73.00 – 76.99
C-	70.00 – 72.99
D	60.00 – 69.99
F	<60.00

INSTRUCTIONS FOR ASSIGNMENTS & RESEARCH PAPERS

Expectations

This course relies on student participation to function properly. I will call on students by name to answer questions about the readings, so I expect that you will have completed the required readings for each class.

Individual Chapter/Topic Presentations (10%)

Each student will provide an in-class presentation of a topic/chapter. I will provide you with a list of the readings for you to choose from. Note that you are required to prepare and provide a one-page summary for the class, as well as a copy for the instructor. You don't have to prepare a PowerPoint presentation for this; use your imagination. Note that you will present for 20 minutes, with 10 minutes of questions.

Take Home Mid-Term (15%)

Questions for the "take home" exam will be supplied three weeks before the **due date of March 30, 2010**. The exam will comprise of one-to-two essay questions. You are to demonstrate your knowledge of the course content, as well as show case your ability to analyze, synthesize and evaluate the role and theory of the nonprofit sector in America based on the material covered. **Please use the DROPBOX in D2L to submit your Exam.** Note that the DROPBOX is time sensitive, meaning you will NOT be able to submit anything after the Deadline.

Assignment #1 – Review Paper

Write a 5-page review of the presentation (double-spaced, 12-point font) evaluating the content of presented by the guest speaker. Your review should follow these guidelines (**Due: February 9, 2009 – In-class**):

1. Purpose of Research/Presentation
2. Area of Focus (who or what was studied, topic, level of government?)
3. Data and Methods Utilized (if any)
4. Conclusions Drawn

Consider the following questions as you construct your response:

- a. *Topical Importance:* Is the research topic interesting and important to the field? Why or why not?
- b. *Critique of the Presentation:* What were some of your concerns/issues with what was presented? What are some of your recommendations?
- c. *Next Steps:* What research questions might you consider pursuing based on this presentation?

Group Assignment #2

You are to conduct an analysis of the Scope and Role of Nonprofits in **Wisconsin** using NCCS Data and the NTEE Codes. Data will be provided via D2L. Note that the data will be provided in 3 formats: SPSS, Stata and Excel. Each group's analysis will focus on a specific county of your choice (this will be determined in class when you choose your groups). I would also like for you to say something about the funding sources of these

nonprofits. You are to submit a 10-page report on your report on your findings. Each group will also provide a 10-minute presentation their findings in class. **Due Date: March 2, 2010 – In-class submissions.**

Assignment #3 – Papers Review (Of Nonprofit Activities)

Write a 5-page paper reviewing the roles nonprofit organizations play based on today’s readings and the two papers you have selected from the list. Remember that the paper should be double-spaced and in 12-point font. **(Due: March 9, 2009 – In-class).**

Consider the following questions as you review the papers: -

1. What conclusions can you draw about the role of nonprofits in the fields you have chosen?
2. Does the nonprofit sector play a unique role? How does their role differ from the for-profit sector and the public sector?
3. How important is their role in the political economy? Are they necessary?

Group Assignments #4-6: Comparative Matrix

The purpose of this matrix is to conduct a cursory comparative analysis of the nonprofit sector across the globe. Your task is to document the differences and similarities between the sectors, by country. Unlike the first Group Assignment, you will notice that this assignment will be qualitative in nature. Your group must compare the nonprofit sectors, by country, across the following key dimensions. Feel free to add any dimensions that you think might be important to consider in this comparative assignment.

Dimensions:

	History	Explanatory Theories of Nonprofit Formations?	Size & Scope	Role/Activities	Nature of Funding	Any Other?
Country						
USA						
France, etc						

Research Paper and Annotated Bibliography (25%)

In consultation with the instructor, you are to choose a topic on which you will research and write a short research paper. Your paper will explore research literature on a topic that is related to the nonprofit sector. You are expected to read beyond the course material assigned. Note that you can choose to explore in greater detail any topic that has been explored in class. I encourage you to seek other topics in the nonprofit field, outside of the topics covered in the class.

Your research paper should be between **6-10 pages, double-spaced and 12-point Font (the page limit does not include the Bibliography or References)**. Each student’s paper will be evaluated on the clarity of their ideas, their ability to synthesize and the overall quality of their writing and paper. It is important that you use proper citations in this paper. Copy-Pasting of information is **unacceptable** and will be **heavily penalized**. This assignment is also intended to teach you how to research and write academic papers. **Note that there is a different page limit for PhD students taking this class (See additional instructions below).**

FOR PhD STUDENTS ONLY:

While all the instructions for the final paper apply to you, I am however expecting a complete paper with a research question, a literature review and a conclusion from you. As a result, please write your paper as you would a conference paper. Might I add that you consider writing a conceptual piece, one you might be able to present at a conference or perhaps a nascent building block for your dissertation project?

The object of this additional assignment for you is to assist you in framing new research questions/projects in order to extend the knowledge of a particular subject or to answer new research questions about the nonprofit sector as a whole, or a particular set of nonprofit organizations in general. This assignment will therefore be of some utility assisting you in art of preparing original reviews of the literature on new research questions or issues relevant to the sector.

NB: PhD Page Limit: Your page limit therefore is **15-20 pages**

Due Date for the Research Paper: May 18 , 2010 by 7pm (Please use the D2L Dropbox).

Plagiarism and Academic Honesty

Established rules covering plagiarism and academic honesty must be followed at all times. It is the student's responsibility to know the meaning of plagiarism and when it occurs. If you have questions about these rules as they apply to written assignments, consult the student academic misconduct procedures specified in UWS Chapter 14 and the UWM implementation provisions (Source: Faculty Document 1686).

Please be advised that I will expect you to adhere to proper use of citations when using someone's work. When in doubt about how to cite properly, come and see me.

Plagiarism includes:

- Directly quoting the words of others without using quotation marks or indented format to identify them; or,
 - Using sources of information (published or unpublished) without identifying them; or,
 - Paraphrasing materials or ideas of others without identifying the sources.
 - Academic Integrity means honesty concerning all aspects of academic work.
- Students are encouraged to consult with faculty.

Policy on Absences, Tardiness, and Late Assignments

The quality of this class is contingent on the diversity of ideas and perspectives shared in class so please try to minimize absences. If you plan to miss a class, **please notify the instructor at least 2 days in advance**. Unexcused absences will negatively impact your grade, except in the event of extreme circumstances and I will require that you notify me via phone or email, in such cases. Tardiness will also negatively impact your grade.

No late assignments will be accepted. The assignment is due when it is scheduled to be submitted.

In only rare circumstances will I permit you to take the midterm exam late. A death in the immediate family and your hospitalization are the only two reasons that come to mind. In either of these cases, I should be notified as soon as possible and no later than the start of the test.

In only rare circumstances will I award a grade of Incomplete. As with the midterm exam, a death in the immediate family and your hospitalization are the only two reasons that would persuade me to give you an Incomplete.

Policy on Laptops and Cellular phones

Students are not allowed to use cell phones during class. Any student using a cell phone during class will be asked to leave. As far as the use of laptops, I will allow it on condition that they are used strictly for note-taking. There will be no internet surfing during class.

H1N1 Influenza

In the event of disruption of normal classroom activities due to an H1N1 swine flu outbreak, the format for this course may be modified to enable completion of the course. In that event, you will be provided an addendum to this syllabus that will supersede this version.

Note: This syllabus is subject to change.

COURSE SCHEDULE

WEEK & DATE	READINGS
Week 1: January 26	<p>Introduction: Course Overview and Introductions Review of course syllabus, expectations and objectives.</p> <p>Size and Scope of the Nonprofit Sector</p> <p><u>Required Readings:</u></p> <ul style="list-style-type: none">Elizabeth T. Boris and C. Eugene Steuerle (2006), "Scope and Dimensions of the Nonprofit Sector," In <i>The Nonprofit Sector: A Research Handbook</i>
Week 2: February 2	<p>NOTE: VENUE: CUNNINGHAM 151.S (On Hartford near Cramer) (ONLY FOR THIS TALK)</p> <p>Nonprofit Resources</p> <p><u>Required Readings:</u></p> <ul style="list-style-type: none">Lise Vesterlund (2006), "Why do people Give?" In <i>The Nonprofit Sector: A Research Handbook</i>John J. Havens, Mary A. O'Herlihy, et al. (2006), "Charitable Giving: How Much, by Whom, to What, and How?" In <i>The Nonprofit Sector: A Research Handbook</i><i>Giving USA</i>, 2008<i>Donor attitudes to giving in the credit crunch: the second monthly monitor</i> (D2L) March 2009 <p>GUEST SPEAKER: Melissa Brown, Editor, Giving USA <i>Using Giving USA as a Tool for Fund Development</i></p> <p>ASSIGNMENT #1: Write a 5-page review of the presentation by Melissa Brown. Due February 9</p>
Week 3: February 9	<p>Theories of Nonprofit Formation</p> <p><u>Required Readings:</u></p> <ul style="list-style-type: none">Eleanor Brown and Al Slivinski (2006), "Nonprofit Organizations and the Market," In <i>The Nonprofit Sector: A Research Handbook (Contract Failure)</i>Richard Steinberg (2006), "Economic Theory of Nonprofit Organizations," In <i>The Nonprofit Sector: A Research Handbook (Economic Theory)</i>Elisabeth S. Clemens (2006), "The Constitution of Citizens:

Week 4: February 16

History of the Nonprofit Sector (Including Rationale of Nonprofit Organizations’ Tax Exemption Status)

Discussion Questions

What role did voluntary associations play?
How were their activities financed?
What sort of relationship did they have with the state, the private sector?
In what ways has the nonprofit sector evolved?

Required Readings:

- David C. Hammack (2002). Nonprofit Organizations in American History. *The American Behavioral Scientist*, 45(11), 1638-1674.
- Peter Dobkin Hall (2006), “A Historical Overview of Philanthropy, Voluntary Associations, and Nonprofit Organizations in the United States, 1600 – 2000,” In *The Nonprofit Sector: A Research Handbook*
- Kevin C. Robbins (2006), “The Nonprofit Sector in Historical Perspective: Traditions of Philanthropy in the West,” In *The Nonprofit Sector: A Research Handbook*
- Evelyn Brody (2006), “The Legal Framework For Nonprofit Organizations,” In *The Nonprofit Sector: A Research Handbook*

THE ROLE OF NONPROFIT ORGANIZATIONS IN THE POLITICAL ECONOMY

Week 5: February 23

Role of Nonprofit Organizations

Discussion Questions

Required Readings:

- Salamon, Lester M., Hems, C, et al. (2000). *The Nonprofit Sector: For What and for Whom?*
- Richard Steinberg and Bradford Gray (1993), “The Role of Nonprofit Enterprise in 1992: Hansmann Revisited,” *Nonprofit and Voluntary Sector Quarterly*.
- Kenneth Prewitt (2006), “Foundations,” In *The Nonprofit Sector: A Research Handbook*

Week 6: March 2

Nonprofit Financing and Activities in Wisconsin

Discussion Questions

Required Readings:

- “National Taxonomy of Exempt Entities,” National Center of Charitable Statistics, The Urban Institute. See at: <http://flynnresearch.com/pdf/NTEE.pdf>.

SUBMIT GROUP ASSIGNMENT #2: Analysis of the Scope and Role of Nonprofits in Wisconsin using NCCS Data and the NTEE Codes. Also consider how these nonprofit organizations are financed.

Week 7: March 9**Nonprofit Key Activities**Discussion QuestionsRequired Readings:

- J. Craig Jenkins (2006), "Nonprofit Organizations and Political Advocacy," In *The Nonprofit Sector: A Research Handbook*
- John Boli (2006), "International Non-governmental Organizations," In *The Nonprofit Sector: A Research Handbook*

You MUST also read at least two of the following:

- Kenneth Prewitt (2006), "Foundations," In *The Nonprofit Sector: A Research Handbook*
- Marck Schlesinger and Bradford H. Gray (2006), "Nonprofit Organizations and Health Care: Some Paradoxes of Persistent Scrutiny," In *The Nonprofit Sector: A Research Handbook*
- Patricia J. Gumpert and Stuart K. Snyderman (2006) "Higher Education: Evolving Forms and Emerging Markets," In *The Nonprofit Sector: A Research Handbook*
- Wendy Cadge and Robert Wuthnow (2006) "Religion and the nonprofit Sector," In *The Nonprofit Sector: A Research Handbook*

SUBMIT ASSIGNMENT #3: Write a **5-page paper that reviews** the role nonprofit organizations play based on today's readings and the two reading materials you have selected. How are these sectors financed? What conclusions can you draw about their funding mixes?

CHALLENGES AND OPPORTUNITIES

Week 8: March 16**Nonprofit-State Relations**Discussion Questions

To whom are nonprofits accountable?

Who is held responsible for failed programs provided through nonprofits by government?

How do we hold government accountable?

How can nonprofit organizations maintain their legitimacy?

Required Readings:

- Steven Rathgeb Smith and Kirsten Gronbjerg (2006), "Scope and Theory of Government-Nonprofit Relations," In *The Nonprofit Sector: A Research Handbook*
- Young, Dennis. (2000), "Alternative Models of Government-Nonprofit Relations: Theoretical and International Perspectives," *Nonprofit and Voluntary Sector Quarterly*, 29(1): 149-172
- Coston, J. M. (1998). "A Model and Typology of Government-NGO Relationships." *Nonprofit and Voluntary Sector Quarterly* 27(3): 358-382.
- Steven R. Smith and Michael Lipsky (1993), *Nonprofit for Hire*, Harvard University Press, (pp 3-19)

Case Review:

- CARE Says No to Federal Food Donations
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Week 9: March 21-28

SPRING BREAK

Week 10: March 30

Nonprofit-Corporate Relations

Discussion Questions

Required Readings:

- Joseph Galaskiewicz and Michelle Sinclair Colman (2006), “Collaboration between Corporations and Nonprofit Organizations,” In *The Nonprofit Sector: A Research Handbook*
- Alyssa Dyer, “Best Practices in Corporate Social Responsibility,” www.bp.com/us
- Peter Navarro (1998), Why Do Corporations Give to Charities,” *Journal of Business*,

NOTE: TAKE HOME MID-TERM DUE

THE NONPROFIT SECTOR: A COMPARATIVE PERSPECTIVE

Week 11: April 6

Comparative Overview

Required Readings:

- Lester Salamon, Helmut K. Anheier, et al. “**Civil Society in Comparative Perspective**,” In Lester Salamon and Helmut Anheier, *Global Civil Society: Dimensions of the Nonprofit Sector*, The Johns Hopkins Comparative Nonprofit Sector Project.
- S. Wojciech Sokolowski and Lester Salamon (1999), “**United States**,” In Lester Salamon and Helmut Anheier, *Global Civil Society: Dimensions of the Nonprofit Sector*, The Johns Hopkins Comparative Nonprofit Sector Project.

Week 12: April 13

The Western European Nonprofit Sector

Discussion Questions

What are some of the key findings in these chapters?

To what extent do the theories of nonprofit formation explain or fail to explain the formation of nonprofits in these countries?

How does the nonprofit sector in each of these countries compare to the nonprofit sector in the US?

Required Readings:

- Edith Archambault, Marie Gariazzo, Helmut K. Anheier, and Lester M. Salamon (1999), “**France**,” In Lester Salamon and Helmut Anheier, *Global Civil Society: Dimensions of the Nonprofit Sector*, The Johns Hopkins Comparative Nonprofit Sector Project.
- Eckhard Priller, Annette Zimmer, Helmut Anheier, Stefan Toepler, and Lester M. Salamon (1999), “**Germany**,” In Lester Salamon and Helmut Anheier, *Global Civil Society: Dimensions of the Nonprofit Sector*, The Johns Hopkins Comparative Nonprofit Sector Project.

SUBMIT GROUP ASSIGNMENT #4: Prepare a matrix highlighting the differences in the sectors for all the countries studies so far (US; France; Germany)

Week 13: April 20Discussion Questions

What are some of the key findings in these chapters?

To what extent do the theories of nonprofit formation explain or fail to explain the formation of nonprofits in these countries?

How does the nonprofit sector in each of these countries compare to the nonprofit sector in the US; France and Germany?

The Nonprofit Sector in Other Developed CountriesRequired Readings:

- Jeremy Kendall and Stephen Almond (1999), “**United Kingdom,**” In Lester Salamon and Helmut Anheier, *Global Civil Society: Dimensions of the Nonprofit Sector*, The Johns Hopkins Comparative Nonprofit Sector Project.
- Naoto Yamauchi, Hiroko Shimizu, et al. (1999), “**Japan,**” In Lester Salamon and Helmut Anheier, *Global Civil Society: Dimensions of the Nonprofit Sector*, The Johns Hopkins Comparative Nonprofit Sector Project.
- Jeremy Kendall, Martin Knapp, and Julien Forder (2006), “Social Care and the Nonprofit Sector in the Western Developed World,” In *The Nonprofit Sector: A Research Handbook*

SUBMIT GROUP ASSIGNMENT #5: Prepare a matrix highlighting the differences in the sectors for all the countries studies so far (Add the UK and Japan to your matrix)

Week 14: April 27Discussion Questions

What are some of the key findings in these chapters?

To what extent do the theories of nonprofit formation explain or fail to explain the formation of nonprofits in these countries?

How does the nonprofit sector in each of these countries compare to the nonprofit sector in the US; France, Germany; the UK and Japan?

The Latin American Nonprofit SectorRequired Readings:

- Gustavo Verduzco, Regina List, and Lester M. Salamon (1999), “**Mexico,**” In Lester Salamon and Helmut Anheier, *Global Civil Society: Dimensions of the Nonprofit Sector*, The Johns Hopkins Comparative Nonprofit Sector Project.
- Leilah Roitter, Neide Beres, Regina List, and Lester M. Salamon (1999), “**Brazil,**” In Lester Salamon and Helmut Anheier, *Global Civil Society: Dimensions of the Nonprofit Sector*, The Johns Hopkins Comparative Nonprofit Sector Project.

SUBMIT GROUP ASSIGNMENT #6: Prepare a matrix highlighting the differences in the sectors for all the countries studies so far (Add Mexico and Brazil to your matrix)

CONCLUDING REMARKS

Week 15: May 4**The Future of the Nonprofit Sector**Discussion QuestionsRequired Readings:

- Lester M. Salamon (1999). “The Nonprofit Sector at a Crossroads: The Case of America.” *Voluntas*, 10(1), 5-23.

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- Weisbrod, Burton (1997), "The Future of the Nonprofit Sector: Its Entwining with Private Enterprise and Government," *Journal of Policy Analysis and Management*, 16(4): 541-555
 - Howard P. Tuckman and Cyril F. Chang (2006), "Commercial Activity, Technological Change, and Nonprofit Mission," In *The Nonprofit Sector: A Research Handbook*

Week 16: May 11

LIBRARY HOURS – NO CLASS

Week 17: May 18

**RESEARCH PAPER & ANNOTATED BIBLIOGRAPHY DUE
BY 7PM VIA THE DROPBOX ON D2L.**

January 20 Version