



DEPARTMENT OF HEALTH & HUMAN SERVICES

Program Support Center
Financial Management Portfolio
Cost Allocation Services

1301 Young Street, Suite 1140
Dallas, TX 75202
PHONE: (214) 767-3261
FAX: (214) 767-3264
EMAIL: CAS-Dallas@psc.hhs.gov

October 31, 2023

Robin Van Harpen
Sr. Vice Chancellor, Finance and Administration Affairs
University of Wisconsin - Milwaukee
320 Chapman Hall
PO Box 413
Milwaukee, WI 53201

Dear Ms. Van Harpen:

A copy of an indirect cost rate agreement is being sent to you for signature. This agreement reflects an understanding reached between your organization and a member of my staff concerning the rate(s) that may be used to support your claim for indirect costs on grants and contracts with the Federal Government.

Please have the agreement signed by an authorized representative of your organization and return to me by email, retaining the copy for your files. Our email address is CAS-Dallas@psc.hhs.gov. We will reproduce and distribute the agreement to the appropriate awarding organizations of the Federal Government for their use.

In addition, both parties agree to the following over (+) / under (-) recoveries:

	<u>2022/2024</u>	<u>2021/2023</u>
Faculty & Academic Staff	\$1,888,583	\$971,961
University Staff	(\$448,069)	\$298,785
Limited Term and Ad Hoc Employees	(\$41,449)	(\$28,520)
Graduate Assistants, Fellows, and Research Associates	Waived	Waived
Student Hourly	(\$419,608)	(\$16,019)

These amounts are included in your fixed fringe benefit rates for the fiscal year ending 6/30/2022 which are listed in the attached Rate Agreement. The fixed rate(s) for fiscal year ended 6/30/2021 and 6/30/2022 are considered final. This is the grantee's second fringe benefit proposal.

A Fringe Benefit cost proposal, together with supporting information and the certified audit financial statement, is required each year. Thus, your next Fringe Benefit cost proposal based on actual costs for the fiscal year ending 6/30/2023 is due in our office by 12/31/2023.

Ms. Van Harpen
October 31, 2023
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An F&A cost proposal, together with supporting information, are required to substantiate your claim for F&A costs under grants and contracts awarded by the Federal Government. Your next F&A proposal based on actual costs for the fiscal year ending 6/30/2024, is due in our office by 12/31/2024.

Please submit your proposals electronically to the following email address: CAS-Dallas@psc.hhs.gov.

Since this is an integral part of the Negotiation Agreement, please note your acceptance by signing in the space provided below.

Thank you for your cooperation.

Sincerely,

Arif M. Karim -S

Digitally signed by Arif M. Karim -
S
Date: 2023.11.15 09:33:43 -06'00'

Arif Karim
Director
Cost Allocation Services

Enclosures

ACCEPTANCE:

University of Wisconsin - Milwaukee
(Institution)


(Signature)

Robin Van Harpen
(Name)

Sr. Vice Chancellor, Finance + Admin.
(Title)

11-21-23
(Date)

COLLEGES AND UNIVERSITIES RATE AGREEMENT

EIN: 1391805963B5
 ORGANIZATION:
 University of Wisconsin – Milwaukee
 320 Chapman Hall
 P.O. Box 413
 Milwaukee, WI 53201–

Date: 10/31/2023
 FILING REF.: The preceding
 agreement was dated
 11/14/2022

The rates approved in this agreement are for use on grants, contracts and other agreements with the Federal Government, subject to the conditions in Section III.

SECTION I: INDIRECT COST RATES

RATE TYPES:		FIXED	FINAL	PROV. (PROVISIONAL)	PRED. (PREDETERMINED)	
TYPE	EFFECTIVE PERIOD		RATE(%)	LOCATION	APPLICABLE TO	
	FROM	TO				
FINAL	07/01/2020	06/30/2021	52.00	On Campus	Organized Research	
FINAL	07/01/2020	06/30/2021	50.00	On Campus	Instruction	
FINAL	07/01/2020	06/30/2021	34.00	On Campus	Public Service	
FINAL	07/01/2020	06/30/2021	26.00	Off Campus	All Programs	
PRED.	07/01/2021	06/30/2023	53.00	On Campus	Organized Research	
PRED.	07/01/2023	06/30/2025	54.00	On Campus	Organized Research	
PRED.	07/01/2021	06/30/2025	50.00	On Campus	Instruction	
PRED.	07/01/2021	06/30/2025	35.00	On Campus	Public Service	
PRED.	07/01/2021	06/30/2025	26.00	Off Campus	All Programs	
PROV.	07/01/2025	Until Amended				Use same rates and conditions as those cited for fiscal year ending June 30, 2025.

***BASE**

Modified total direct costs, consisting of all direct salaries and wages, applicable fringe benefits, materials and supplies, services, travel and up to the first \$25,000 of each subaward (regardless of the period of performance of the subawards under the award). Modified total direct costs shall exclude equipment, capital expenditures, charges for patient care, rental costs, tuition remission, scholarships and fellowships, participant support costs and the portion of each subaward in excess of \$25,000. Other items may only be excluded when necessary to avoid a serious inequity in the distribution of indirect costs, and with the approval of the cognizant agency for indirect costs.

SECTION I: FRINGE BENEFIT RATES**

<u>TYPE</u>	<u>FROM</u>	<u>TO</u>	<u>RATE(%)</u>	<u>LOCATION</u>	<u>APPLICABLE TO</u>
FIXED	7/1/2022	6/30/2023	36.30	All	(1)
FIXED	7/1/2022	6/30/2023	42.00	All	(2)
FIXED	7/1/2022	6/30/2023	13.10	All	(3)
FIXED	7/1/2022	6/30/2023	28.00	All	(4)
FIXED	7/1/2022	6/30/2023	2.40	All	(5)
FIXED	7/1/2023	6/30/2024	34.50	All	(1)
FIXED	7/1/2023	6/30/2024	45.10	All	(2)
FIXED	7/1/2023	6/30/2024	12.10	All	(3)
FIXED	7/1/2023	6/30/2024	32.00	All	(4)
FIXED	7/1/2023	6/30/2024	7.20	All	(5)
PROV.	7/1/2024	Until Amended			Use same rates and conditions as those cited for fiscal year ending June 30, 2024.

**** DESCRIPTION OF FRINGE BENEFITS RATE BASE:**

Salaries and wages of faculty and staff including vacation, holiday and sick leave pay and other paid absences of only the faculty and staff.

- (1) Faculty & Academic Staff
- (2) University Staff
- (3) Limited Term and Ad Hoc Employees
- (4) Graduate Assistants, Fellows, and Research Associates
- (5) Student Hourly

SECTION II: SPECIAL REMARKS

TREATMENT OF FRINGE BENEFITS:

The fringe benefits are charged using the rate(s) listed in the Fringe Benefits Section of this Agreement. The fringe benefits included in the rate(s) are listed below.

TREATMENT OF PAID ABSENCES:

Vacation, holiday, sick leave pay and other paid absences are included in salaries and wages and are claimed on grants, contracts and other agreements as part of the normal cost for salaries and wages. Separate claims are not made for the cost of these paid absences.

OFF-CAMPUS DEFINITION: The off-campus rate will apply for all activities: a) Performed in facilities not owned by the institution and where these facility costs are not included in the F&A pools; or b) Where rent is directly allocated/charged to the project(s). Grants or contracts will not be subject to more than one F&A cost rate. If more than 50% of a project is performed off-campus, the off-campus rate will apply to the entire project.

FRINGE BENEFITS:

FICA Retirement
Disability Insurance Worker's Compensation
Life Insurance Unemployment Insurance
Health Insurance Severance Allowance
ERA Administration Income Continuation Insurance

The next fringe benefit proposal based on actual costs for the fiscal year ended 06/30/2023 is due in our office by 12/31/2023.

The next F&A proposal based on actual costs for the fiscal year ending 06/30/2024 is due in our office by 12/31/2024.

Equipment means tangible personal property (including information technology systems) having a useful life of more than one year and a per-unit acquisition cost which equals or exceeds \$5,000.

SECTION III: GENERAL

A. LIMITATIONS:

The rates in this Agreement are subject to any statutory or administrative limitations and apply to a given grant, contract or other agreement only to the extent that funds are available. Acceptance of the rates is subject to the following conditions: (1) Only costs incurred by the organization were included in its indirect cost pool as finally accepted: such costs are legal obligations of the organization and are allowable under the governing cost principles; (2) The same costs that have been treated as indirect costs are not claimed as direct costs; (3) Similar types of costs have been accorded consistent accounting treatment; and (4) The information provided by the organization which was used to establish the rates is not later found to be materially incomplete or inaccurate by the Federal Government. In such situations the rate(s) would be subject to renegotiation at the discretion of the Federal Government.

B. ACCOUNTING CHANGES:

This Agreement is based on the accounting system purported by the organization to be in effect during the Agreement period. Changes to the method of accounting for costs which affect the amount of reimbursement resulting from the use of this Agreement require prior approval of the authorized representative of the cognizant agency. Such changes include, but are not limited to, changes in the charging of a particular type of cost from indirect to direct. Failure to obtain approval may result in cost disallowances.

C. FIXED RATES:

If a fixed rate is in this Agreement, it is based on an estimate of the costs for the period covered by the rate. When the actual costs for this period are determined, an adjustment will be made to a rate of a future year(s) to compensate for the difference between the costs used to establish the fixed rate and actual costs.

D. USE BY OTHER FEDERAL AGENCIES:

The rates in this Agreement were approved in accordance with the authority in Title 2 of the Code of Federal Regulations, Part 200 (2 CFR 200), and should be applied to grants, contracts and other agreements covered by 2 CFR 200, subject to any limitations in A above. The organization may provide copies of the Agreement to other Federal Agencies to give them early notification of the Agreement.

E. OTHER:

If any Federal contract, grant or other agreement is reimbursing indirect costs by a means other than the approved rate(s) in this Agreement, the organization should (1) credit such costs to the affected programs, and (2) apply the approved rate(s) to the appropriate base to identify the proper amount of indirect costs allocable to these programs.

BY THE INSTITUTION:

University of Wisconsin - Milwaukee

(INSTITUTION)



(SIGNATURE)

Robin Van Harpen

(NAME)

Sr. Vice Chancellor, Finance + Adm.

(TITLE)

11-21-23

(DATE)

ON BEHALF OF THE GOVERNMENT:

DEPARTMENT OF HEALTH AND HUMAN SERVICES

(AGENCY)

Arif M. Karim -S Digitally signed by Arif M. Karim -S
Date: 2023.11.15 09:33:03 -06'00'

(SIGNATURE)

Arif Karim

(NAME)

Director, Cost Allocation Services

(TITLE)

10/31/2023

(DATE)

HHS REPRESENTATIVE: Denise Shirlee

TELEPHONE: (214) 767-3261