

**AUTHORIZED SIGNERS OF DOCUMENTS ON BEHALF OF THE BOARD OF REGENTS FOR  
THE UNIVERSITY OF WISCONSIN-MILWAUKEE  
January 13, 2017**

**Important Background on Signature Authority:**

The UW System is a legal entity whose character and operational authority emanates from Chapter 36 of the Wisconsin Statutes. As a result, in many legal respects, the UW System is like a corporation in that it can act only through its duly authorized officers and agents. The President of the University of Wisconsin System, on behalf of the Board of Regents, has delegated to the Chancellor of UW-Milwaukee the authority to sign contracts related to the programs and operations of UWM and to delegate that authority to, by name, those individuals who may legally obligate the University through contracts and agreements, including grants, memorandums of understanding, certifications, releases, purchase orders, leases of personal property, and royalty agreements. (Board of Regents Policy Documents 13-1 and 13-2).

It is critical that documents binding the university are properly signed by an individual with authority. A document that is signed outside of proper signature authority may not be legally binding. Further, an employee who signs an agreement without authority to do so could be personally liable for any damages incurred as a result, because the individual has acted outside the scope of his/her authority. Anyone who is unsure as to his or her authority should not sign a document until after consulting with one of the attorneys in the Office of Legal Affairs (ext. 4278).

This document provides a listing of all UWM employees who have the authority to sign contracts on behalf of the Board of Regents. Each individual has only the authority described. Individuals with authority cannot further delegate that authority. **The signature authority appearing below is subject to the following important exceptions:**

(1) Procurement Contracts

Procurement contracts are those involving the purchase of materials, supplies, equipment, permanent property and miscellaneous capital, contractual services and other expenses of a consumable nature, except for ASSAs, contracts for goods or services pursuant to Wis. Stat. § 36.11(1)(b), and research subcontracts. Procurement contracts include contracts for disposal of surplus property. Procurement contracts are different from all other contracts in that authority to sign them flows from the Wisconsin Department of Administration to the Purchasing Office through the UW System Office of Procurement. Thus, ***procurement contracts may be signed only by the Manager of Purchasing and his/her designees who have been granted permission in writing.*** Those designees do not appear on this listing. Contact Purchasing directly with questions or a current list of designees with authority to sign purchasing contracts.

(2) Appointment of Employees

The authority to appoint *classified* employees has been delegated to Deans and Division Heads. (See S-1 1, UWM Policy on Appointing Authority for Classified Civil Service Employment.)

The UWM Chancellor has authority to appoint unclassified employees at a salary up to 75% of the salary of the UW System President, subject to the Regents approval of tenure. (See Unclassified Personnel Guideline #5.) The Chancellor has delegated the authority to appoint unclassified employees with a salary of less than 75% of the UW System President to Deans and Division Heads.

Deans and Division Heads may delegate appointment authority to subordinates, provided they notify the Department of Human Resources upon doing so. It is not recommended that appointing authority be widely dispersed, but rather that Deans and Division Heads retain the authority themselves or delegate it on a limited basis only to those individuals who understand the required processes and contractual implications associated with making appointments.

(3) Contracts Requiring Actual Approval of Regents or UW System

Regardless of the designated signature authority, each of the following types of documents likely require approval by UW System or the Board of Regents prior to signature:

- (a) Agreements that contemplate or commit establishment of an academic program which has not had prior Regent approval;
- (b) Agreements involving construction or modification of facilities that require UW System Capital Budget or State Building Commission approval;
- (c) Sales, trades, purchases, and gifts of real property, and leases which would permit a privately owned or operated facility to be constructed on state-owned land;
- (d) Grants from and contracts with private, profit-making organization and the cumulative amount of the agreement and its predecessor agreements is more than \$500,000;
- (e) Any contract with a value less than \$500,000 if the President of the UW System determines that the contract warrants Board approval prior to execution.

(4) Leases of Real Property for UWM Use

The Wisconsin Department of Administration is responsible for all leases of real property for use by UWM, including office space, storage, warehouse, laboratory, communication towers and airplane hangars. Contact the Office of University Architects/Planning and Transportation for more information about initiating a lease.

(5) Construction Contracts

Construction contracts are under the purview of the Division of State Facilities ("DSF"), Wisconsin Department of Administration. DSF has granted the Director of Facility Services authority to sign contracts for construction projects valued at \$60,000 or less.

All individuals with signature authority should retain a copy of each contract signed by that individual. Copies of contracts may be destroyed only pursuant to applicable University records retention schedules. Any signer of a contracts *involving the generation of revenue* for UWM, including all OSAs, must send a copy to the Assistant Controller in Business & Financial Services, except for contracts processed by the Graduate School, contracts processed by Purchasing or short-term (e.g. room rental) facilities use agreements.

<b>General Authority</b>		
<b>Name:</b>	<b>Title:</b>	<b>Signature Authorized For:</b>
Mark Mone	Chancellor	All contracts subject to exceptions noted above
Johannes Britz	Provost & Vice Chancellor, Academic Affairs	All contracts subject to exceptions noted above
Robin Van Harpen	Vice Chancellor, Finance & Administrative Affairs	All contracts subject to exceptions noted above

<b>Research Related Contracts</b>		
<b>Name:</b>	<b>Title:</b>	<b>Signature Authority For:</b>
Thomas R. Marcussen	Director, Office of Sponsored Programs	Proposals and contracts for grants, extramural funding, certifications; IRS Form 8283 (Noncash Charitable Contributions)
Ronald Fleischmann	Associate Director, Office of Sponsored Programs	Proposals and contracts for grants, extramural funding, certifications; IRS Form 8283 (Noncash Charitable Contributions)
Carla Durand	Assistant Director, Office of Sponsored Programs	Proposals and contracts for grants, extramural funding, certifications
Matthew Richter	Compliance Manager, Office of Sponsored Programs	Proposals and contracts for grants, extramural funding, certifications
Mark Harris	Interim Vice Provost for Research	Proposals and contracts for grants, extramural funding, certifications

<b>Facilities-Related Contracts</b>		
<b>Name:</b>	<b>Title:</b>	<b>Signature Authority For:</b>
James Hill	Sr. Student Affairs Officer, Student Affairs	UWM Student Union facilities service agreements (with non-UWM entities)
Richard Thomas	Director, Student Union	UWM Student Union facilities service agreements (with non-UWM entities)
Steven J. Mohar	Director, Klotsche Center	Klotsche Center/Engelmann Gym facilities and services agreements (with non-UWM entities)
Scott Emmons	Dean, Peck School of the Arts	Rental agreements and art exhibitions
Randy Trumbull-Holper	Facilities Dir., Peck School of the Arts	Negotiating and coordinating facility use and licensing agreements

<b>Safety and Risk Management Contracts</b>		
<b>Name:</b>	<b>Title:</b>	<b>Signature Authority For:</b>
Zachary Steuerwald	Associate Director, University Safety & Assurances	Hazardous Waste manifests and certifications, Protection of Human Subjects Assurances/ Certifications/ Affiliations, Radioactive Waste shipment manifests and certifications, training agreements (affiliation agreements), art loan/accessions, Animal care program's notices of Protocol approval and assurance of compliance; Hazardous and Radioactive material manifests, certifications and permits; Property damage work authorizations/agreements; Pet Therapy Provider Agreements.
Melissa Spadanuda	Associate Director, University Safety & Assurances	Hazardous Waste manifests and certifications, Protection of Human Subjects Assurances/ Certifications/ Affiliations, Radioactive Waste shipment manifests and certifications, training agreements (affiliation agreements), art loan/accessions, Animal care program's notices of Protocol approval and assurance of compliance; Hazardous and Radioactive material manifests, certifications and permits; Property damage work authorizations/agreements; Pet Therapy Provider Agreements.
Jenelle Reick	Research Safety Program Manager, US&A	Hazardous waste shipment manifests and certifications
James Olson	Risk Management Manager, US&A	Property damage work authorizations/agreements; Training/affiliation, art loan/accessions, and pet therapy provider agreements
Kimberly M. Axtman	Environmental Health Specialist, US&A	Radioactive and hazardous waste shipment manifests and certifications
Patrick J. Egan	Waste Management Specialist, US&A	Hazardous waste shipment manifests and certifications

<b>Student-Related Contracts</b>		
<b>Name:</b>	<b>Title:</b>	<b>Signature Authority For:</b>
James Hill	Sr. Student Affairs Officer, Student Affairs	Co-signer with Athletic Director for Tender of Financial Aid
Kelly Haag	Director, University Housing	Housing and food contracts with students, guest housing agreements with outside entities and/or individuals with a term of one year or less
Timothy Opgenorth	Director, Financial Aid	Co-signer with Athletic Director for Tender of Financial Aid
Amanda Braun	Director, Athletics	Co-signer with Director of Financial Aid for Tender of Financial Aid

<b>Miscellaneous Administrative Contracts</b>		
<b>Name:</b>	<b>Title:</b>	<b>Signature Authority For:</b>
Jerry Tarrer	Associate Vice Chancellor, Business and Financial Services	Pleadings and related documents in Milwaukee County Small Claims Court subject to restrictions of Attorney General and legal suit authorizations; Federal Loans and Loan Assignment Forms
James Hill	Sr. Student Affairs Officer, Student Affairs	Recreational Sports and Facilities Staff Development Reimbursement Agreements

Amanda Braun	Director, Athletics	Athletic game contracts, marketing/advertising agreements up to \$25,000 which are up to 3 years in length and use standard agreement
Tom Scrivener	Interim Director, Purchasing	Revenue contracts requiring competitive solicitation process or review (subject to exception noted above for certain contracts over \$500,000)
Tom Luljak	Vice Chancellor, University Relations	Miscellaneous agreements relating to University Relations activities (not including procurements) up to \$25,000 in value
Dave Edwards	General Manager, WUWM Public Radio	Purchase of specific radio programming consistent with master agreements with each supplier
Lisa Mosier	Director, Children's Learning Center	Documents requiring signature of "licensee" of the Children's Center

### **Academic Support Services Agreements (ASSAs) Less than \$25,000**

(An ASSA is a contract for a service which is a unique noncompetitive activity supporting instruction, research, or public service, where an employer-employee relationship does not exist between UWM and the service provider).

<b>Name:</b>	<b>Title:</b>	<b>Signature Authority For:</b>
Robert C. Greenstreet	Dean, School of Architecture & Urban Planning	ASSAs less than \$25,000
Ron Cisler	Interim Dean, College of Health Sciences	ASSAs less than \$25,000
Kanti Prasad	Interim Dean, Lubar School of Business	ASSAs less than \$25,000
Alan Shoho	Dean, School of Education	ASSAs less than \$25,000
Scott Emmons	Dean, Peck School of the Arts	ASSAs less than \$25,000
Rodney A. Swain	Dean, College of Letters & Science	ASSAs less than \$25,000
Tomas Lipinski	Dean, School of Information Studies	ASSAs less than \$25,000
Kim Litwack	Interim Dean, School of Nursing	ASSAs less than \$25,000
Stan Stojkovic	Dean, Helen Bader School of Social Welfare	ASSAs less than \$25,000
Brett Peters	Dean, College of Engineering & Applied Science	ASSAs less than \$25,000
J. Val Klump	Interim Dean, School of Fresh Water Sciences	ASSAs less than \$25,000
Ron Perez	Interim Dean, Zilber School of Public Health	ASSAs less than \$25,000
Marija Gajdardziska-Josifovska	Dean, Graduate School	ASSAs less than \$25,000

### **Contracts Pursuant to Wis. Stat. § 36.11(1)(b)**

(These are contracts for the purchase of goods and services that are essential to UWM's unique research, educational and outreach mission, including those ancillary to UWM collaborations, necessary for the commercialization of UWM faculty intellectual property, library database subscriptions, speaker and entertainer contracts, or other contracts essential to UWM's mission that have been subject to a fair and open selection process that is reasonable under the circumstances)

<b>Name:</b>	<b>Title:</b>	<b>Signature Authority For:</b>
Michael Doylen	Associate Provost & Director, Golda Meir Libraries	Library Database Subscriptions up to \$500,000
Richard Thomas	Director, Student Union	Standard UWM Speaker and Entertainment Contracts
Tom Scrivener	Interim Director, Purchasing	Non-standard Speaker and Entertainment Contracts
James Eisenhauer	Senior Purchasing Agent, Purchasing	Non-standard Speaker and Entertainment Contracts

Martin Kowalski	Purchasing Agent, Purchasing	Non-standard Speaker and Entertainment Contracts
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<b>Outreach Service Agreements (OSAs) Less than \$25,000</b>		
(This assumes that the standard OSA is used and the customer is not a governmental unit.)		
<b>Name:</b>	<b>Title:</b>	<b>Signature Authority For:</b>
Robert C. Greenstreet	Dean, School of Architecture & Urban Planning	OSAs less than \$25,000
Ron Cisler	Interim Dean, College of Health Sciences	OSAs less than \$25,000
Kanti Prasad	Interim Dean, Lubar School of Business	OSAs less than \$25,000
Alan Shoho	Dean, School of Education	OSAs less than \$25,000
Scott Emmons	Dean, Peck School of the Arts	OSAs less than \$25,000
Rodney A. Swain	Dean, College of Letters & Science	OSAs less than \$25,000
Tomas Lipinski	Dean, School of Information Studies	OSAs less than \$25,000
Kim Litwack	Interim Dean, School of Nursing	OSAs less than \$25,000
Stan Stojkovic	Dean, Helen Bader School of Social Welfare	OSAs less than \$25,000
Brett Peters	Dean, College of Engineering & Applied Science	OSAs less than \$25,000
J. Val Klump	Interim Dean, School of Fresh Water Sciences	OSAs less than \$25,000
Ron Perez	Interim Dean, Zilber School of Public Health	OSAs less than \$25,000
Marija Gajdardziska-Josifovska	Dean, Graduate School	OSAs less than \$25,000

<b>Governmental Outreach Service Agreements (OSAs)</b>		
<b>Name:</b>	<b>Title:</b>	<b>Signature Authority For:</b>
Thomas R. Marcussen	Director, Office of Sponsored Programs	Governmental OSAs
Ronald Fleischmann	Associate Director, Office of Sponsored Programs	Governmental OSAs
Carla Durand	Assistant Director, Office of Sponsored Programs	Governmental OSAs
Matthew Richter	Compliance Manager, Office of Sponsored Programs	Governmental OSAs
Mark Harris	Interim Vice Provost for Research	Governmental OSAs