DBE Program Update
2021 Southeast Wisconsin Transportation Symposium
Lea Collins-Worachek
Director, Office of Business Opportunity & Equity Compliance (OBOEC)
DBE Program Updates

• Objectives of the DBE Program
• ASP-3
• DBE Program Achievement
  ▪ Annual Goal
  ▪ Good Faith Effort (GFE)
• Mentor-Protégé Program
• DBE Stakeholder Advisory Committee – Southeast Region
The DBE Program is a Federal Program

- The DBE Program Requirements are defined in the Code of Federal Regulations at 49 CFR Part 26
  - The 8 objectives of the DBE program focus on nondiscrimination, remedial efforts, compliance procedures and equitable access and fair competition
  - Every state is required to have a DBE program because it uses money from the Transportation Bill through USDOT

Eight Objectives of the DBE Program
- Nondiscrimination in award of contracts
- Fair competition
- Narrowly tailored – contract by contract analysis
- Eligibility based – Socially & Economically disadvantaged
- Remove barriers to participation – contract goals
- Promote DBE use beyond WisDOT contracting
- Develop competitive firms
- Provide flexibility to DOTs in establishing DBE opportunities
EQUALITY

EQUITY
ASP-3 Updates

- **ASP-3 : Additional Special Provision 3**
  - Contractual element attached to all projects that have a DBE goal
    - DBE goals are only set on projects with Federal Funding
  - Provides guidance for meeting requirements of 49 CFR Part 26
  - Resource for DBEs, Primes, and other Contractors
  - Emphasis on Good Faith Effort
    - Strengthening GFE Guidelines for Contractors
    - Focus on Efforts Beyond Solicitation and Prior to Bid
<table>
<thead>
<tr>
<th>Year FFY</th>
<th>Consultant DBE Commitments</th>
<th>Construction DBE Commitments</th>
<th>Total DBE Commitments</th>
</tr>
</thead>
<tbody>
<tr>
<td>2015</td>
<td>$18,898,385</td>
<td>$83,250,812</td>
<td>$102,149,197</td>
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<tr>
<td>2016</td>
<td>$15,775,997</td>
<td>$51,045,487</td>
<td>$66,821,484</td>
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<tr>
<td>2017</td>
<td>$15,271,855</td>
<td>$53,649,033</td>
<td>$68,920,888</td>
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<tr>
<td>2018</td>
<td>$19,703,816</td>
<td>$112,640,653</td>
<td>$132,344,469</td>
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<tr>
<td>2019</td>
<td>$22,080,689</td>
<td>$59,164,635</td>
<td>$81,245,324</td>
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<tr>
<td>2020</td>
<td>$23,847,885</td>
<td>$65,688,593</td>
<td>$89,536,478</td>
</tr>
<tr>
<td>2021</td>
<td>$18,076,482 (thru June)</td>
<td>$102,103,535</td>
<td>$120,180,017</td>
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</tbody>
</table>
DBE Commitments FFY 2015-2020

DBE Commitments FFY 2015-2020

Consultant DBE Commitments  Construction DBE Commitments  Total DBE Commitments

2015: $20,000,000
2016: $40,000,000
2017: $60,000,000
2018: $80,000,000
2019: $100,000,000
2020: $120,000,000
2021 thru June Consult - July Construct: $140,000,000
## DBE Overall Annual Goal Achievement FFY 2015-2020

<table>
<thead>
<tr>
<th>Year FFY</th>
<th>Assigned DBE Goal</th>
<th>Achieved DBE Goal</th>
</tr>
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<tbody>
<tr>
<td>2015</td>
<td>14.13%</td>
<td>14.76%</td>
</tr>
<tr>
<td>2016</td>
<td>14.13%</td>
<td>12.91%</td>
</tr>
<tr>
<td>2017</td>
<td>14.13%</td>
<td>9.61%</td>
</tr>
<tr>
<td>2018</td>
<td>12.38%</td>
<td>13.10%</td>
</tr>
<tr>
<td>2019</td>
<td>12.38%</td>
<td>10.57%</td>
</tr>
<tr>
<td>2020</td>
<td>12.38%</td>
<td>12.31%</td>
</tr>
<tr>
<td>2021</td>
<td>12.41%</td>
<td></td>
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DBE Overall Annual Goal Achievement FFY 2015-2020

Assigned DBE Goal
Achieved DBE Goal
## GFE Requests & Approvals FFY 2016-2021

<table>
<thead>
<tr>
<th>Year FFY</th>
<th>Total Construction Contracts w/DBE Goals</th>
<th>GFE Requested &amp; Approved Construction</th>
<th>GFE Requested &amp; Approved Consulting</th>
</tr>
</thead>
<tbody>
<tr>
<td>2016</td>
<td>235</td>
<td>145</td>
<td>11</td>
</tr>
<tr>
<td>2017</td>
<td>278</td>
<td>141</td>
<td>7</td>
</tr>
<tr>
<td>2018</td>
<td>268</td>
<td>140</td>
<td>5</td>
</tr>
<tr>
<td>2019</td>
<td>261</td>
<td>126</td>
<td>5</td>
</tr>
<tr>
<td>2020</td>
<td>315</td>
<td>93</td>
<td>12</td>
</tr>
<tr>
<td>2021</td>
<td>242</td>
<td>54 requests; 49 approved</td>
<td>2</td>
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</table>
Mentor – Protégé Program

A PROGRAM WITH A PURPOSE

- **Act** as a catalyst for DBE firms to become successful &/or enhance success as a sub-contractor
- **Encourage** proactive teaming efforts with DBE firms on State and Federally funded projects
- **Increase** opportunities for DBE firms to prime projects
- **Create** a support structure for DBE firms to Pursue, Select, Win
Mentor – Protégé Program

A PROGRAM WITH A PURPOSE

The Mentor - Protégé Program is a Formal Tool to:

▪ Promote Business Development
▪ Cultivate and Document Business Relationships
▪ Provide Tailored Training & Assistance to DBEs
▪ Open Communications
▪ Share Expertise and Resources
▪ Create a Stronger Economy
DBE Stakeholder Advisory Committee

- Advises WisDOT on ways to include DBE firms on highway construction projects.
- Serves as an advisory team with no direct authority over the management of projects.
- Initial scope of the committee will focus on the southeast region of the state.
DBE Stakeholder Advisory Committee

- Subcommittees
  - **Oversight**: Serves as a coordinating committee charged to establish cross team communication and to share challenges and best practices from each group.
  - **Business Development**: Identifies barriers and formulate strategies to increase the number of DBE firms on WisDOT projects
  - **Labor Development**: Generates ideas about how to increase diverse labor participation on WisDOT projects.
Resources

• Contracting with DBEs
  ▪ https://wisconsindot.gov/dbecontracting

• Interactive DBE Directory Map
  ▪ https://wisdot.maps.arcgis.com/apps/webappviewer/index.html?id=c7bfb3407c7d4e9dadb0ccdf1d1cce4

• ASP-3

• Highway Construction Contracting Information (HCCI)
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