

# Syllabus for Day 2 of the Ph.D. Qualifying Exam in Computer Science Revised (4/29/2022)

Part II of the Ph.D. qualifying exam is also for four hours. Students will be tested on one specialty area they chose when they registered for the exam. Each area will have four questions; a student must answer any three of them (if a student answers more than three questions then an arbitrary three questions will be counted). The exam is closed-book and closed-notes. The use of electronic devices is not allowed except for a scientific calculator.

This document describes the topics from which the questions for each specialty area will be chosen. Each area is accompanied by (i) suggested texts and (ii) Computer Science courses that cover the area in sufficient depth.

# Artificial Intelligence

(Solve 3 questions)

## CS 422 (Introduction to Artificial Intelligence) or CS 710 (Artificial Intelligence) (2 questions)

**Basics of state-space search** (terminology (initial state, goal, operators, successor function, nodes (root, parent, child, sibling, leaf, terminal, ancestors, descendants), path cost, search cost, branching factor, node expansion, search tree, termination condition), evaluation criteria for searches (completeness, optimality, time complexity, and space complexity)), **blind searches** (depth-first search, breadth-first search, uniform-cost search, depth-limited search, iterative-deepening search, and bidirectional search (algorithms, completeness, optimality, time complexity, and space complexity of these searches)), **informed searches** (pure heuristic search, A\*, hill climbing, simulated annealing (algorithms, completeness, optimality, time complexity, and space complexity of these searches), admissibility of heuristics, and local minima), **adversarial search** (Minmax algorithm, Shannons modifications to Minmax algorithm, evaluation functions, and alpha-beta pruning), **knowledge representation** (syntax, semantics, and rules of inference of propositional logic and first-order logic, encoding in these logics, limitations of these logics, different forms of sentences (Horn form, disjunctive normal form (DNF), and conjunctive normal form (CNF)), inference procedures including refutation, data-driven reasoning, and goal-directed reasoning), **state-space planning** (assumptions in classical planning, STRIPS, operators and actions, algorithms and properties of forward state-space planning and backward state-space planning, plan verification), and **natural language processing** (phrase structure grammars, network grammars, and chart parsing)

Suggested Reference:

Stuart Russell and Peter Norvig, Artificial Intelligence: A Modern Approach, 3rd ed ., Prentice Hall, 2010. Chapters 3, 4.1, 5:1-4, 7, 8, 9, 10:1-2, 22, 23:13

## CS 720 (Computational Models of Decision Making) (1 question)

**Types of probability distributions for discrete variables** (prior, conditional, full, partial, and joint), **Laws of probability**, **Bayesian networks with discrete variables** (writing expressions for queries, finding probabilities efficiently, and simplifying queries), **decision theory** (constructing a decision tree with chance nodes and decision nodes, solving this decision tree to find optimal policy or decision, constructing a payoff matrix, finding the best decision using expected utilities, sensitivity analysis, expected value of perfect information (EVPI), and expected value of sample information (EVSI)), **game theory** (two-person constant-sum games, converting a two-person constant-sum game into a zero-sum game, pure strategy, mixed strategy, equilibrium, stable and unstable games, minimax and maximin values, dominant and dominated strategies, and simplifying a payoff matrix by removing dominated strategies)

Suggested References:

(i) Stuart Russell and Peter Norvig, Artificial Intelligence: A Modern Approach, 3rd ed., Prentice Hall, 2010. Chapters 13, 14, and 16.

(ii) R. Bronson and G. Naadimuthu, Schaum's Outline of Operations Research, 1997. Chapters 17 and 18.

**CS 711 (Introduction to Machine Learning)**  
**(1 question)**

1. Probability-based learning
2. Decision trees
3. Similarity-based learning
4. Linear and logistic regression
5. Support vector machines
6. Ensemble learning
7. Neural networks and deep learning
8. Clustering

Suggested References:

- John D. Kelleher, Brian Mac Namee, and Aoife D'Arcy, Fundamentals of Machine Learning for Predictive Data Analytics: Algorithms, Worked Examples, and Case Studies, 2nd Edition, The MIT Press, 2020.
- Kevin P. Murphy, Machine Learning: A Probabilistic Perspective, MIT Press, 2012.

# Computer Graphics and Image Processing

**Topics:** Graphics primitives and attributes, line-drawing algorithms, 2D and 3D geometric transformations, 2D and 3D viewing, 3D object representations (Hermite splines, Bezier splines, B-splines), visibility detection, illumination models and polygon rendering, texture mapping, color models, ray-tracing, and basic computer animation.

Image formation, histogram analysis, spatial filtering, Fourier transforms and DFT, sampling theorem, image processing in frequency domains, noise modeling, image restoration, image reconstruction, edge detection, thresholding and segmentation, morphological image processing, color image processing.

## **Suggested Texts:**

Donald Hearn and M. Pauline Baker, Computer Graphics with OpenGL, 4th ed., Prentice Hall, 2010. Chapters 1–10, 12, 13, 14, 16, 17, 18, 19, 21.

Rafael C. Gonzalez and Richard E. Woods, Digital Image Processing (4<sup>th</sup> Edition), Prentice Hall, 2018. Chapters 2-6, 9, 10.

## **Courses:**

CS459: Fundamentals of Computer Graphics

CS718: Advanced Computer Graphics: Modeling and Animation

EE/CS712: Image Processing

# Natural Language Processing and Text Retrieval

## Natural Language Processing

Topics: words, part of speech tagging; syntax: grammars and parsing (syntactic and statistical), semantics, semantic analysis, and word sense discrimination; discourse, applications (dialog, information extraction, factoid question answering).

Suggested Texts:

- (i) Susan McRoy, Principles of Natural Language Processing, 2021. Chapters 1-5, 7, 8.
- (ii) Dan Jurafsky and James Martin, Speech and Language Processing, 2nd ed., Prentice Hall, 2009. Chapters 3.1, 3.2, 3.8, 3.9, 4.2, 5.1, 5.2, 12.1-12.4, 13.1-13.4, 14.1-14.3, 14.6.1, 14.7, 17.1-17.4, 18.1, 18.2, 18.5, 19.1, 20.1, 21.1, 21.2, 22.1, 22.2, 23.2, 24.1, 24.2.
- (iii) Steven Bird, Ewan Klein, and Edward Loper, Natural Language Processing with Python — Analyzing Text with the Natural Language Toolkit, O'Reilly Media, 2009. Also available online at <http://www.nltk.org/book>. Preface, Chapters 3.7, 3.8, 5, 7.2, 7.3, 8.1-8.4, 10.1-10.3, 10.5, 7.1.

Course: CompSci 423: Introduction to Natural Language Processing, or CompSci 723: Natural Language Processing

## Text Retrieval

Topics: term incidence, term frequency, document frequency; index, postings, inverted index, permuterm index, positional index; query processing, tolerant retrieval; faceted search & zone scoring; tf\*idf scoring & the vector space model; evaluation, precision, recall, F measures; relevance and pseudorelevance feedback; XML retrieval, Web search & link analysis; text classification and clustering.

Suggested Text:

Christopher D. Manning, Prabhakar Raghavan and Hinrich Schütze, Introduction to Information Retrieval, Cambridge University Press. 2008. Chapters 1-3; 6-10; 13-17; 19-21

Course: CompSci 444: Introduction to Text Retrieval, or CompSci 744: Text Retrieval

## **Programming Languages and Compilers**

The programming languages and compilers portion of the qualifying examination covers the topics of programming languages concepts, compilers and type theory.

### **Programming Language Concepts**

Topics: Syntax, BNF grammar, language systems, types, scopes, memory location for variables, polymorphism, memory management, parameter passing, formal semantics, functional programming (ML language, pattern matching, higher order functions, data types), object-oriented programming (classes, abstract classes and interfaces, iterators, subtype polymorphism).

Suggested Text: Adam Webber. Modern Programming Languages: A Practical Introduction, Franklin, Beedle and Associates, 2013. Chapters 1—18.

Course: CompSci 431: Programming Languages Concepts

### **Compilers**

Topics: compiler phases (scanning, parsing, semantic analysis, machine-independent optimization, machine dependent optimization, code generation), regular expressions, parsing tables, symbol tables, type rules, attribute grammars, pipeline issues, flow analysis, separate compilation, linking.

Suggested Text: Michael Scott. Programming Languages Pragmatics, 3rd edition, Morgan Kaufmann, 2009. Chapters 1-9, 14, 16.

Course: CompSci 654: Introduction to Compilers, or CompSci 754: Compiler Construction and Theory

### **Type Systems**

Topics: operational semantics, type rules, lambda calculus, proofs (progress, preservation, inversion, canonical forms), type concepts (products, sums, records, mutable state, subtyping, recursive types, universals, existentials, bounded quantification, higher-order polymorphism).

Suggested Text: Benjamin Pierce, Types and Programming Languages, MIT Press, 2002. All chapters excepting 4, 7, 10, 12, 17, 25.

Course: CompSci 732: Type Systems for Programming Languages

## Theory and Algorithms

This area covers the topics of automata and formal languages, and algorithm design and analysis.

### Automata and Formal Languages

Topics: finite automata, pushdown automata, Turing machines and variants, regular languages, context-free languages, recursive languages, recursively enumerable languages, regular expressions, various classes of grammars and normal forms, reducibility, decidability.

Suggested Texts:

(i) Michael Sipser, Introduction to the Theory of Computation, 3rd ed., Thomson Course Technology, 2013. Chapters 1-5.

(ii) Peter Linz, An Introduction to Formal Languages and Automata, 5th ed., Jones and Bartlett, 2011. Chapters 1-12.

Course: CompSci 417: Introduction to the Theory of Computation

### Algorithm Design and Analysis

Topics: asymptotic notation, solving recurrence relations, stacks, queues, vectors, lists, trees, priority queues and heaps, hashing, binary search trees (including AVL trees and red black trees), sorting and selection, structures/algorithms for disjoint sets, algorithm design techniques (the greedy method, divide and conquer, recursion, dynamic programming), graph algorithms (including minimum spanning tree algorithms and shortest path algorithms).

Suggested Texts:

(i) Michael Goodrich and Roberto Tamassia, Algorithm Design, John Wiley and Sons Inc., 2002. Chapters 1.1-1.4, 2 to 7.

(ii) Sanjoy Dasgupta, Christos Papadimitriou and Umesh Vazirani, Algorithms, 2008. Chapters 0, 2 to 6.

Course: CompSci 535: Algorithm Design and Analysis

### Analysis of Algorithms

Topics: selection, amortized analysis, algorithm design techniques (the greedy method, divide and conquer, recursion, dynamic programming), graph algorithms (including minimum spanning tree algorithms, shortest path algorithms and network flows), randomized algorithms (including quicksort, the closest pair of points problem, the hiring problem, the Rabin-Karp string matching algorithm, primality testing), NP-completeness, approximation algorithms (including the vertex cover problem, the metric TSP, set covering).

Suggested Texts:

(i) Thomas Cormen, Charles Leiserson, Ronald Rivest and Clifford Stein, Introduction to Algorithms, 3rd ed., The MIT Press, 2009. Chapters 3-5, 9, 15-17, 26, 34, 35.

(ii) Jon Kleinberg and Eva Tardos, Algorithm Design, Addison-Wesley, 2005. Chapters 3-8, 11, 13.

(iii) Sanjoy Dasgupta, Christos Papadimitriou and Umesh Vazirani, Algorithms, McGraw Hill, 2008. Chapters 2-8, 9.2.

Course: CompSci 704: Analysis of Algorithms