

# UWM Compliance Framework

**Executive Committee for Compliance:**  
Chancellor and senior leadership

**Compliance Steering Committee**  
Chair: UWM Chief Legal Counsel  
+ Working Group Chairs

Committee Coordination:  
Compliance & Ethics Officer

**Academic & Research Compliance Working Group**  
Chair: Jeff Nytes

**Financial Aid and Student Rights Working Group**  
Chair: Shannon Aylesworth

**Health & Safety Compliance Working Group**  
Chair: Zach Steuerwald

**Information Security Working Group**  
Chair: Mike Grypp

**Human Resources Compliance Working Group**  
Chair: Jamie Cimpl-Wiemer

**Fiscal Management Working Group**  
Chair: Tony Helmke

**Records & Meetings Compliance Working Group**  
Chair: Colleen Ference-Burke

- Human Subjects
- Animal Care
- Grant Pre-Award
- Grant Post-Award
- Conflict of Interest
- Export Controls
- Accreditation
- Copyright & Trademark
- Int'l Programs
- Int'l Student Enrollment

- Title IV
- HEOA
- FERPA
- Equity in Athletics Disclosure
- NCAA
- Student ADA
- Free Expression
- Housing

- Environmental Health/Safety
- Clery Act
- Child Abuse/Negl.
- Minors on Campus
- Drug-Free Campus
- Bien. AODA Rpt.
- Fire Safety
- Emergency Operations
- COOP

- Identity/ Access
- Research Data
- Data Security
- HIPAA

- Title IX
- Empl/Facilities
- ADA
- FMLA
- AAP
- EEO/Nondiscrim.
- Ethics/OAR
- Bkgd Checks
- Immigration
- Pol. Activity & -Lobbying
- Unions
- Retirement
- Wages

- Accounting
- Purchasing & P-Cards
- Loss/Fraud
- Foundations & Affiliated Orgs
- Tax
- Auxiliary Services
- Facilities Use

- Open Records
- Open Meetings
- Records Retention

**Responsible Divisions:**

Academic Affairs

FAA

Student Affairs

GEA (DEI)

GEA (URC)

EM

GEA (SEC of U)