

UW-MILWAUKEE COMPLIANCE EXECUTIVE COMMITTEE CHARTER

Executive Committee (“Executive Committee”) Mission Statement: To fulfill UWM’s vision and support its Guiding Values, and in furtherance of its designation as a Carnegie Commission R1 research and Carnegie Community Engagement institution, UWM must prioritize integrity in its work and compliance with applicable laws and regulations that are fundamental to our status as a public higher education institution with a dual mission of research and access. Compliance with applicable laws and regulations and identification and management of risks occurs primary in the academic and administrative units throughout the University, where the authority for policy development and the responsibility for compliance implementation reside. The Chancellor is ultimately responsible for UWM’s compliance work, and the Executive Committee has overall responsibility for oversight of UWM’s compliance activities. The mission of the Executive Committee, under the leadership of the Chancellor, is to exercise overall responsibility for oversight of UWM’s compliance program and to promote a culture of compliance by providing leadership to and support for UWM’s compliance activities.

Executive Committee Composition: The members of the Executive Committee are the Chancellor, Provost, vice chancellors, Executive Director of Strategic Partnerships, Chancellor’s Chief of Staff, and Chief Legal Counsel.

Executive Committee Specific Responsibilities: The Executive Committee provides oversight over institutional compliance activities and sets institutional compliance priorities based on input from the Board of Regents, UW System, and the Steering Committee. The Executive Committee will meet at least biannually to:

- a. Review and assess institutional risks identified by the Board of Regents, UW System, the Steering Committee, and other relevant sources
- b. Set campus compliance priorities
- c. Ensure resource alignment and effectiveness across compliance areas
- d. Review and receive reports from the Steering Committee on compliance priorities, compliance activities, and significant risks
- e. Review corrective plans to mitigate significant risks
- f. Review and approve Compliance Plan (updated at regular intervals)
- g. Champion a positive culture of compliance and ethical behavior