DOCTORAL STUDENT HANDBOOK:

Clinical Program

Department of Psychology
University of Wisconsin-Milwaukee

Edition C2016

Note: This edition of the handbook was produced on August 19, 2016.
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Welcome to the doctoral program in Clinical Psychology at UWM!

The Clinical program follows a scientist-practitioner model and offers comprehensive training in clinical psychology, emphasizing research, knowledge of psychopathology, and development of proficiency in assessment and therapy. Professional skills in clinical psychology are acquired through an associated series of teams, practica, and placements. This handbook was developed to provide easily accessible information on policies and procedures for Clinical doctoral students.

PEOPLE TO SEE FOR HELP

The department's Graduate Program Coordinator is available to provide you with advising on any aspect of your graduate program, including course selection, changing major professors, help with filing forms with the graduate school, and advice on how to plan your program. As Graduate Program Coordinator, her major job is to serve as a resource about programmatic and curricular requirements for all students to ensure that comparable advice is available to all.

**Susan Lima, Graduate Program Coordinator**
Office: Garland 232
Phone: 229-4359
Email: suelima@uwm.edu

You should seek assistance on clinical issues from the department's Director of Clinical Training (DCT).

**Bonnie Klein-Tasman, Director of Clinical Training (DCT)**
Office: Garland 238D
Phone: 229-3060
Email: bklein@uwm.edu

The department's Clinic Director is responsible for the day to day management of the Psychology Clinic. All issues of Clinic policy are handled by the Clinic Director. Although the Clinic Director may be a supervisor for some students, she is not considered a broad supervisor for all students.

**Stacey Nye, Clinic Director**
Office: Pearse 178
Phone: 229-2488
Email: nye@uwm.edu
The Clinical Psychology Program Ombudsperson is responsible for handling program conflicts when the usual chain of handling conflicts is unworkable.

**Robyn Ridley, Clinical Psychology Program Ombudsperson**  
Office:  Garland 230  
Phone:  229-4407  
Email:  rridley@uwm.edu

The Chair of the department can assist you with questions about departmental regulations.

**W. Hobart Davies, Chair**  
Office:  Garland 202C  
Phone:  229-6067  
Email:  hobart@uwm.edu

**ACADEMIC ACCOMMODATIONS**

If you have a documented disability and need special accommodations in order to meet any of the requirements of the program, please contact the DCT as soon as possible. The DCT will need your VISA form, which you can obtain at the Accessibility Resource Center (Mitchell 112, [http://www4.uwm.edu/arc/](http://www4.uwm.edu/arc/), phone 414-229-6287).

**ADMISSION STATUS**

All students with an undergraduate major or master's degree in Psychology (or Neuroscience) from an accredited college or university are admitted without deficiencies. Other students are admitted "with deficiencies" and may be required to complete some of the following courses: Psychological Statistics (Psych 210), Research Methods in Psychology (Psych 325), and an advanced laboratory course, and, in some cases, Introduction to Psychology (Psych 101). If you are admitted "with deficiencies," please consult with the Graduate Program Coordinator ASAP.

**MAJOR PROFESSORS**

Regulations of the Graduate School and the department require that all students have a major professor (adviser) who supervises their research. Students may change major professors at any time without penalty. However, due to the small number of faculty in the department, considerable flexibility may be required on the part of the student, or else the student might not be able to find a new major professor. It is important to consult with the Graduate Program Coordinator for assistance if you contemplate switching to a new major professor.
Any time a student changes from one major professor to another, and grades need to be assigned for such things as research credits, the student has the option of having the grade assigned by either the former major professor or the new major professor. The student's annual evaluation form for that year will be signed by the new major professor and not the former major professor.

**BRIEF OVERVIEW OF REQUIREMENTS**

Clinical students must complete the following requirements: clinical course sequence, statistics requirement, history of psychology requirement, a course in multicultural issues, a course in lifespan developmental psychology, and the clinical breadth requirements. Completion of a minor is optional. They must participate in vertical teams throughout Years 1 through 3 in the program (with the option for participation in Year 5, as well). They must successfully obtain and complete at least 2 semesters of Community Placement (12-16 hours/week). They must attend the "Professional Development Issues in Clinical Psychology" meetings. They are expected to attend departmental colloquia that don't conflict with their classes or other duties. They must complete a master's thesis and earn the MS degree (if they didn't enter the program with an acceptable thesis-based master's degree in psychology, neuroscience, or a related field.) They must fulfill the preliminary exam requirement. They must fulfill the continuous enrollment requirement. They must attain dissertator status. They must complete a doctoral dissertation. They must successfully obtain and complete an APA-accredited predoctoral internship.

**DEGREES AND TIME LIMITS**

All students are admitted to the doctoral program with the expectation that they will ultimately earn the PhD. The MS degree is a required milestone on the road to the PhD.

Although it is possible to complete the program in five years, most students take six years to complete the program, and a few students take more than six years. The program is a full-time program. A minimum of two years full-time at UWM is required, per APA accreditation guidelines.

The following time limits have been established by the Department of Psychology:

- The MS must be earned within three years of enrolling (by March 10 of the third year for most favorable consideration in the teaching assistant priority system, see p. 27.)

- In the calendar year of applying for internship: February 1 is the deadline for submitting your preliminary exam to your committee. May 1 is the deadline for passing your prelim. October 1 is the deadline for passing your dissertation proposal hearing.
• Students who do not pass their preliminary exam (prelim) requirement by the Spring semester of their 4th year (and therefore by default will not be applying for internship in the Fall of their 5th year) must develop a plan of study with their adviser for their 5th and 6th years in the program. This plan, which may include both research and clinical experiences, must be submitted to the Clinical Training Committee (CTC) for review and approval by May 1 of the student's 4th year.

• The PhD must be earned within eight years of enrolling (including the internship year). If you do not earn your PhD within eight years of enrolling, it is possible that the department will recommend your dismissal from the university. You must file an exception form with the Graduate Program Coordinator to appeal for an extension if you fail to meet this deadline.

The following time limits, which have been established by the UWM Graduate School, apply to all doctoral students at UWM:

• The preliminary exam must be passed within five years of enrolling. If you do not pass your prelim within five years of enrolling, it is possible that UWM will dismiss you from the university. You must file an exception form with the Graduate School if you fail to meet this deadline.

• (Note: The following rule is relevant only if a student has been granted an extension of the department's 8-year time limit for earning the PhD.) The Graduate School requires that the PhD must be earned within 10 years of enrolling (including the internship year). If you do not earn your PhD within 10 years of enrolling, it is likely that UWM will dismiss you from the university. You must file an exception form with the Graduate School to appeal for an extension if you fail to meet this deadline.

**MS DEGREE AND APPLYING FOR MS GRADUATION**

Students admitted without an acceptable thesis-based master's degree in Psychology, Neuroscience, or a related field must earn the MS in our department. The MS requires a minimum of 30 credits and a thesis. Some of the credits should be earned in Psych 790.

Students must form a thesis committee consisting of at least three faculty members, one of whom is the major professor, by March 1 of their first year. Students must write a thesis proposal, which they must orally defend at a meeting of their committee (i.e., the thesis proposal hearing). Then, when the research is completed, students write the thesis itself and defend it at another meeting of the committee (i.e., the thesis defense). You should not collect the data for your thesis project before the thesis proposal hearing, because this practice defeats the purpose of the proposal hearing, which is to gain your committee's approval of the design and method before executing the project.
At the beginning of the semester in which the thesis is to be defended, the student must apply for graduation by filing an application for MS graduation with the Graduate School, which can be done via this link: https://www4.uwm.edu/grad_school/graduation_application?application=masters

Part of the master's process is to prepare the thesis in accordance with format and margin rules set out by the Graduate School: http://uwm.edu/graduateschool/thesis-dissertation-formatting/
After the student has passed the thesis defense and has perfectly formatted the thesis, he or she submits the thesis to the Graduate School electronically via ProQuest: http://uwm.edu/graduateschool/electronic-theses-dissertations/

Time limit: As noted above, the MS must be earned within three years of enrolling (and by March 10 of the third year to maintain the best priority in the TA priority system.)

THE CLINICAL PROGRAM

The clinical PhD program is accredited by the American Psychological Association (APA)* and follows the Boulder (scientist-practitioner) model. It is also a member of the Academy of Psychological Clinical Science, which is a coalition of doctoral programs dedicated to producing and applying scientific knowledge to the understanding of human problems. Students gain competence as scientists by reviewing basic and applied literatures relevant to clinical psychology and by conducting research under the direction of their major professor. Although students may emphasize either the basic or the applied aspects of the Boulder model, the goal is excellence in both areas.

The Clinical Training Committee (CTC) is the governing body of the Clinical Program, and a subcommittee of the Psychology Department. The CTC consists of the Clinical Faculty, a representative from the Department’s Experimental Committee, and 2 elected members of the Clinical Student Body. The clinical student representatives vote on all issues except personnel issues. The CTC is led by the Director of Clinical Training (DCT). The DCT is responsible for the Program’s management. The DCT is responsible for assigning third year practicum assignments, and overseeing fourth year community placements and evaluations, together with the Clinic Director. The DCT coordinates interview day, organizes students for internship applications, and writes the letters of readiness that accompany internship applications. The DCT also manages correspondence with internship sites and works with APA on Accreditation issues. The DCT also represents the program at the College and University levels and is the point person for student complaints and concerns. Finally, the DCT conducts annual student evaluations on the recommendation of the Clinical Training Committee.

Evaluation of students is based on performance in courses, teams, practica (clinical skills, ethics, and professionalism), community placements, the preliminary examination, and on the quality of their master's and doctoral research. The APA Standards of Accreditation competencies and the UWM Clinical Psychology Program Clinical Trainee Competency
Form (subject to periodic updates) are used to conduct the evaluations, together with verbal input from CTC members. Also, students are required to attend the Professional Development Issues in Clinical Psychology (PDP) meetings, which are held several times per semester.

Clinical students are required to participate in “vertical teams.” These teams are composed of faculty supervisors and students, and they assess and treat clients in our clinic. Advanced graduate students (typically, those in their fifth year) sometimes serve as co-supervisors along with the faculty supervisor on these teams. The work of each student on the team is coordinated with that student's place in the clinical sequence.

Students receive a minimum of eight semesters of clinical training. During their first three years, they receive didactic training in the classroom and practicum training from the clinical faculty in the department's training clinic. After completion of this training, students take placements in various community agencies under the supervision of adjunct faculty. These experiences constitute minima. Often, students want to prepare themselves by taking additional coursework, practica, and placements. While the program will orient the students to potential community placements, students are responsible for securing Community Placement training opportunities; each community site has its own timeline and application process.

In their final year, and preferably after the dissertation defense has been passed, clinical students embark on a required, extramural, one year, full time (2000 hour) internship. Students are evaluated for readiness to apply for internship by the Clinical Training Committee (CTC). No student may apply for internship without the permission of the CTC or without having passed the preliminary examination by May 1 of the calendar year of applying for internship. Students must also pass the dissertation proposal hearing before October 1 of the year in which they apply for internship. The internship must be completed at an APA-accredited site, or one approved by the CTC.

*APA accreditation contact information:
Office of Program Consultation and Accreditation
750 First St NE
Washington, DC 20002-4242
Phone: 202-336-5979
COURSE REQUIREMENTS

CLINICAL COURSE REQUIREMENTS (CLINICAL MAJOR)

Students must complete the clinical course sequence, which is listed on pp. 9-10.

STATISTICS REQUIREMENT

Students must complete the introductory statistics sequence (Psych 510 and 610).

HISTORY OF PSYCHOLOGY REQUIREMENT

Students must take a course in the history of psychology (Psych 750).

BREADTH REQUIREMENTS

Students must fulfill breadth requirements associated with APA guidelines, which state that they must gain knowledge of Biological Bases of Behavior, Cognitive/Affective Bases of Behavior, and Social Bases of Behavior. Specifically, students must take three breadth courses, as follows:

- Psychology 854: Behavioral Neuroscience (Biological Bases of Behavior)
- One of the following two courses: Psychology 705: Information Processing or Psychology 727: Cognitive Neuroscience (Cognitive/Affective Bases of Behavior)
- Psychology 930: Seminar in Social Psychology (Social Bases of Behavior)

MULTICULTURAL ISSUES REQUIREMENT

Students must take one course in multicultural issues (Counseling 704 or Counseling 715).

DEVELOPMENTAL PSYCHOLOGY REQUIREMENT

Students must take one course in lifespan developmental psychology (Educational Psychology 640).
RESEARCH CREDITS

All students who will earn a master's degree (i.e., those admitted without an acceptable thesis-based master's degree in psychology, neuroscience, or a related field) should take some credits in master's research (Psych 790). It is best not to enroll in Psych 790 after your first two years in the program. Instead, use Psych 890 (Graduate Research) to sign up for research credits in Year 3 and beyond.

Students working on their PhD projects should take some credits in Psych 990 (Doctoral Research). There is no upper limit on how many credits of Psych 990 a student may take over the course of his or her graduate career. You should begin to enroll in Psych 990 (rather than Psych 890) after you have passed your preliminary exam.

Research credits receive a grade of either Satisfactory or Unsatisfactory. The Department and the Graduate School encourage major professors to provide for their students, in writing, their expectations for a "Satisfactory" grade for each semester. All graduate students and major professors should meet at the beginning of each semester to agree on exactly what will be required in order to earn a grade of “Satisfactory.” A written agreement is the best way to guard against any problems in assigning the grade at the end of the semester.
CLINICAL COURSE SEQUENCE

Note that the five-year sequence below lists only the courses in the clinical major, and does not list the other required courses, such as Psych 510, 610, etc.

- Year 1

  **Semester 1**
  
  Psych 831, Assessment I  
  Psych 912, Developmental Psychopathology  
  Psych 802, First Year Clinical Practicum

  **Semester 2**
  
  Psych 710, Survey of Clinical Research Methods  
  Psych 802, First Year Clinical Practicum

- Year 2

  **Semester 1**
  
  Psych 712, Professional Ethics and Issues in Clinical Psychology  
  Psych 821, Practicum in Assessment I  
  Psych 741, Foundations of Psychotherapy

  **Semester 2**
  
  Psych 742, Empirically Supported Interventions  
  Psych 832, Assessment II  
  Psych 822, Practicum in Assessment II (1 credit)  
  Psych 845, Practicum in Empirically Supported Interventions (1 credit)

- Year 3

  **Semester 1**
  
  Psych 842, Practicum in Therapy

  **Semester 2**
  
  Psych 842, Practicum in Therapy
• Year 4

Semester 1
Psych 811, Community Placement in Clinical Psychology

Semester 2
Psych 811, Community Placement in Clinical Psychology

• Year 5

Semester 1
Psych 811, Community Placement in Clinical Psychology (Optional)
Psych 844, Practicum in Clinical Supervision (Optional)

Semester 2
Psych 811, Community Placement in Clinical Psychology (Optional)
Psych 844, Practicum in Clinical Supervision (Optional)
MINOR AREAS (OPTIONAL)

As stated previously, students may, if they wish, opt to pursue a minor. A minor consist of two or three courses, depending on the area. If you're interested in pursuing a minor, be sure to discuss it ahead of time with your major professor. Below you will find the specific requirements for each of the available minors. Note that independent studies, research credits, and field placements do not count toward any minors.

BEHAVIOR ANALYSIS (APPLIED)

Minor: Core course + two advanced courses. Total of three courses.

Core course:

Psych 502, Applied Behavior Analysis

Advanced courses (Take two):

Psych 620, Single Subject Research Methods
Psych 724, Proseminar in Behavior Analysis
Psych 736, Functional Assessment and Interventions

BEHAVIOR ANALYSIS (EXPERIMENTAL)

Minor: Core course + two advanced courses. Total of three courses.

Core course:

Psych 714, Conditioning and Learning

Advanced courses (Take two):

Psych 620, Single Subject Research Methods
Psych 914, Seminar in Stimulus Control
Psych 915, Seminar in Operant Behavior
Psych 919, Seminar in Classical Conditioning
COGNITION AND PERCEPTION

Minor: One core course + any other course. Total of two courses.

Core courses:

Psych 705, Information Processing [Cognition core]
Psych 503, Perception [Perception core]

Advanced courses:

Psych 727, Cognitive Neuroscience
Psych 711, as designated by area faculty

DEVELOPMENTAL PSYCHOLOGY

Minor: Core course + two other courses. Total of three courses.

Core course:

Psych 760, Experimental Child Psychology

Advanced courses:

Psych 711, as designated by area faculty
Developmental courses available in the Educational Psychology Department, as approved by area faculty (such as Ed Psych 640)

HEALTH AND SOCIAL PSYCHOLOGY

Minor: Core course + one advanced course. Total of two courses.

Core course:

Psych 955, Seminar in Social Psychology and Health

Advanced courses:

Psych 578, Psychology of Race, Ethnicity, and Health
Psych 756, Psychophysiology
Psych 930, Seminar in Social Psychology
Psych 711, as designated by area faculty
NEUROSCIENCE

Minor: Core course + proseminar + one advanced course. Total of three courses.

Core course:
Psych 854, Behavioral Neuroscience

Proseminar:
Psych 754, Proseminar in Biological Psychology

Advanced courses:
Psych 657, Neurobiology of Learning and Memory
Psych 727, Cognitive Neuroscience
Psych 745, Hormones and Behavior
Psych 833, Neuropsychology
Psych 954, Seminar in Physiological Psychology
Psych 711, as designated by area faculty

QUANTITATIVE METHODS

Minor: Two courses in advanced statistics, beyond Psych 510 and 610

Courses:

Ed Psych 824 and Ed Psych 825 are the usual courses used toward this minor, but other graduate-level statistics courses can also count toward it, if approved by the Graduate Program Coordinator.

Note: Psych 710 (Survey of Clinical Research Methods) does not count toward this minor.

ELECTIVE COURSES AND CERTIFICATE PROGRAMS (OPTIONAL)

Although they are not required to do so, some students choose to enrich their education by taking additional elective courses offered inside and outside of the department. Also, some students participate in psychology-related UWM graduate certificate programs. Information on the university's numerous graduate certificate programs can be found here: http://uwm.edu/graduateschool/explore-our-programs/?certificate=true

If you're interested in pursuing a certificate, please be sure to discuss it ahead of time with your major professor and with the Graduate Program Coordinator.
COLLOQUIUM

The department offers several research colloquia every semester. These are announced well in advance through email. Students in residence at UWM are generally expected to attend colloquia that do not conflict with their classes or other duties.

CONTINUOUS ENROLLMENT

All students must be continuously enrolled every semester (fall and spring) from the time they enter until the time they graduate.

Furthermore, you must be enrolled in the semester in which you graduate. Degrees are granted three times during the year: May, August, and December. Usually, you don't have to enroll for credits during the summer. However, if you wish to graduate with the MS or PhD in August, then you have to register for credits in the summer of your MS or PhD graduation, unless you meet certain early thesis/dissertation submission deadlines, which you should discuss with the Graduate Program Coordinator.

In rare circumstances, the Psychology Department may grant a student an internal leave of absence, which in effect would allow him or her to break enrollment. See the Graduate Program Coordinator for information on how to apply for a leave of absence. Such leaves are given for a definite time, for a definite reason, such as illness. Economic reasons are not normally considered valid. Your time in leave-of-absence status does not stop the “TA priority clock” from ticking, i.e., your priority level continues to increment throughout the leave of absence. Your major professor must sign a letter agreeing to resume his or her duties when you return. Any student on leave of absence who does not have a major professor willing to sponsor him or her back into the program will not be allowed to re-enter the program.

COURSELOAD

The usual course load is eight to 12 credits per semester. If you wish to take more than 15 credits, you will have to file an exception form with the Graduate School to get permission. See the Graduate Program Coordinator for assistance.

Teaching assistants, project assistants, and research assistants are required to enroll in at least six credits every semester. Fellows are required to enroll in at least eight credits every semester.

Dissertators (students who have completed all requirements except the PhD dissertation proposal, dissertation, and internship) must enroll in exactly three credits, usually Psych 990, each semester; see the section on Dissertator Status (p. 16).
POLICY FOR INCOMING STUDENTS WITH PRIOR GRADUATE WORK

Students admitted with a master's degree in Psychology, Neuroscience, or a related program that included an acceptable empirically based thesis are exempt from the requirement of earning the MS at UWM.

Students admitted with a master's degree that did not include an empirically based thesis must complete a thesis (which will result in the conferral of a master's degree from UWM). Students must form a committee of at least three faculty, one of whom is the major professor, by March 1 of their first year. Students should enroll in Psych 790 for purposes of completing the thesis.

If you completed some graduate work after earning your bachelor's degree but before enrolling in UWM, you should make an appointment with the Graduate Program Coordinator, who will ask to see a copy of your transcript. The Graduate Program Coordinator will examine your prior graduate work and make suggestions about whether or not specific courses are likely to be acceptable substitutes for courses in our graduate program. Then, she will direct you to faculty members who teach the corresponding courses in our Department. You should contact these faculty members so that you can show them syllabi and any other materials you have for those courses. The decision about whether you will be able to use your prior coursework toward Departmental requirements rests with the individual faculty member, who will provide a "waiver letter" for any course waivers he or she is willing to grant you based on your prior graduate coursework. Be sure to keep a copy of each letter. When you have completed this process, report the results to the Graduate Program Coordinator.

The above procedures are internal "waiver" procedures that apply to curricular requirements only. They do not result in any transfer of credits. Students in our program rarely need to transfer any credits. However, if for some reason you wish to transfer credits, you should be aware that this process is governed by UWM Graduate School policy, which has many restrictions. Please see the Graduate Program Coordinator if you are thinking about transferring credits.

PRELIMINARY EXAMINATION

Clinical students fulfill the preliminary exam (prelim) requirement in one of two ways: either by publishing a first-authored article in a peer-reviewed journal or by writing a comprehensive critical literature review. These options are described in great detail in a document that you can find on pp. 32-37

Departmental regulations state that you must pass the prelim by May 1 of the calendar year of applying for internship. Graduate School regulations state that all students must pass the prelim within five years of entering the program.

For all students, the Graduate School requires an application for preliminary examinations. This application should be submitted to the Graduate School after having
passed the preliminary exam, and it must be submitted via the online Doctoral Milestones system. It is very important to contact the Graduate Program Coordinator before submitting this application.

**DISSERTATOR STATUS**

Dissertator status is a financial designation allowing you to pay a lower rate per credit. It is granted with the understanding that you have completed all coursework and now have nothing left to do except the doctoral dissertation proposal, doctoral dissertation, and internship. The following criteria must be met to be eligible for dissertator status:

- All coursework must have been completed, including the major*, statistics requirement, breadth requirements, multicultural requirement, developmental psychology requirement, and history of psychology requirement. (*Note: The Psych 811's do not need to have been completed.)

- The preliminary exam must have been passed.

- The Graduate School's residency requirement must have been fulfilled (two consecutive semesters of at least 8 credits each, or three consecutive semesters of at least six credits each).

- A brief summary of the dissertation topic (two sentences minimum) must be provided.

- The cumulative GPA must be 3.0 or higher.

- All grades of Incomplete must have been cleared.

Consult with the Graduate Program Coordinator when you are ready to apply for dissertator status. It is important to contact her first, as she will examine your record and determine if you have satisfied all requirements. If it is determined that you have satisfied the requirements, you will be instructed to apply for dissertator status via the online Doctoral Milestones system.

Once you attain dissertator status, you must enroll in three credits per semester. It is expected that these credits will be research credits (Psych 990) or perhaps community placement credits (Psych 811), and not didactic course credits. The Graduate School requires you to file an exception form if you wish to request permission to take didactic course credits as a dissertator.
PhD DEGREE

The PhD requires a minimum of 54 credits, at least 27 of which are typically earned after the MS was awarded. (If you entered the program with an acceptable thesis-based master's degree in Psychology, Neuroscience, or a related field, then you must earn at least 27 credits at UWM.) Also, you should be in dissertator status when you apply for graduation.

DISSERTATION COMMITTEE

The PhD requires a dissertation. Within a semester of having defended the master's thesis, or by March 1 of the first year if the student was admitted with a master's thesis in psychology, the student, in consultation with his/her major professor, must form a doctoral dissertation committee.

Your major professor is not only a member of your committee, but the chair of it. A doctoral dissertation committee must have a total of at least five members, at least three of whom must be tenure-track or tenured UWM Psychology Department faculty who hold Graduate Faculty status (i.e., those with the rank of Assistant Professor, Associate Professor, or Professor). A maximum of two committee members may be individuals who have doctoral degrees (i.e., PhD, PsyD, EdD, or MD) but who do not have Graduate Faculty status at UWM.

DISSERTATION PROPOSAL

Before conducting the dissertation research, the student must write a dissertation proposal and orally defend it at a meeting of the dissertation committee known as "the dissertation proposal hearing." The student is eligible to hold the dissertation proposal hearing after the preliminary exam has been passed, but not before. Note also that students must pass their dissertation proposal hearings before October 1 of the calendar year in which they apply for internships.

You should not collect the data for your dissertation before the proposal hearing, because this practice defeats the purpose of the proposal hearing. After passing your proposal hearing, you must enter the appropriate information into the online Doctoral Milestones system. It is very important to contact the Graduate Program Coordinator before submitting your proposal hearing information.

DISSERTATION DEFENSE AND DISSERTATION WARRANT

After the research has been completed and the dissertation written, it must be orally defended at another meeting of the doctoral committee (i.e., the dissertation defense).

No doctoral dissertation defense may occur without prior issuance of a warrant for defense by the Graduate School. In order to obtain a warrant, you must email a request
(including the date of the defense, the title of the dissertation, and the names of all committee members) to the Graduate Program Coordinator at least two weeks before your defense date.

**CLINICAL INTERNSHIP**

Clinical students must complete an extramural year-long internship at an APA-accredited site. You cannot graduate until both the internship and the dissertation defense are passed. Failure to successfully complete the internship is grounds for dismissal from the program.

You are strongly encouraged to pass your dissertation defense before beginning internship. **You have to pay tuition while on internship.** Those who pass their dissertation defenses before beginning internship are at a great financial advantage, because UWM has granted them special permission to register for only 1 credit of Psych 995 (Clinical Psychology Internship) per semester during their internships, and will still consider them full-time students for financial aid purposes. If you don't pass your dissertation defense before beginning internship, UWM requires you to register for 3 credits of Psych 995 rather than 1.

**APPLYING FOR PhD GRADUATION**

At the beginning of the semester in which you expect to graduate, you must apply to graduate by submitting an Application for Doctoral Defense and Graduation (available on PAWS) to the Graduate School. See the Graduate Program Coordinator for information about this process.

**GRADUATE SCHOOL REGULATIONS ON DOCTORAL DEFENSES**

The following is adapted from the Graduate School's "Doctoral Defense Guidelines and Procedures."

The subject of the doctoral defense is the dissertation itself. The defense provides an opportunity for students to demonstrate their expertise and original scholarship following several years of preparatory coursework, research, and writing. Students will also demonstrate their abilities to explain ideas and methods embodied in the dissertation and to defend the implications and conclusions of the research. The defense allows selected graduate faculty members the opportunity to verify the student's independent, scholarly contributions. They may offer guidance regarding possibilities for publication of the dissertation, and encourage further research efforts in the student's area of academic specialization.

The major professor is charged with the responsibility of determining the readiness of the dissertation for defense. Students are encouraged to plan defenses during fall and spring semesters. Scheduling during the summer semester may be difficult.
Both the student and the committee members must extend professional courtesies to each other. The student must give each committee member ample time (at least two weeks) to read the completed dissertation. A committee member must not keep the dissertation for an inordinate period of time because of the press of other duties. Service as a doctoral committee member is an integral part of the faculty member’s teaching assignment, fully comparable to conducting classes. After the committee members have read the dissertation, they advise the major professor on the readiness for the defense. If substantial revisions are necessary, they must be completed before the defense date is confirmed. The major professor contacts the student to confirm the defense date.

Once the Graduate Program Coordinator has informed the Graduate School of the names of the members of the committee and the date for the defense, these matters must not change without notification of and approval from the Graduate School.

If the decision to defend the dissertation is made against the judgment of one or more committee members, those members cannot resign in order to avoid frustration or collegial confrontation. Readiness for defense, however, is not tantamount to acceptance of the dissertation. It means only that the committee is ready to hear the defense. The decision to hold the doctoral defense, moreover, is not entirely up to the committee. If a student insists on defending the dissertation before the committee believes it ready, the student may defend.

At the conclusion of the defense, the committee must vote either pass or fail (or, in rare instances, the committee may decide to defer voting until a later date). The warrant (indicating pass or fail) must be returned to the Graduate School within 10 working days of the defense. In order for a student to pass, a positive vote by a simple majority of the committee is required. Students who fail the defense may redefend once after a waiting period, determined by the committee and communicated to the student in writing. The Graduate School requires that this second defense take place within one calendar year of the date of the original defense. A new application for defense and a new warrant are required before this defense can be conducted.
Satisfactory Progress in the Program

In addition to satisfactory yearly evaluation by the CTC based on course performance, practicum performance, and research activities, the following are important dates and deadlines to maintain satisfactory progress in the program.

Important Dates and Deadlines

• Year 1

  March 1. Establish master’s thesis committee.

• Year 3

  March 10. To maintain highest possible priority in the TA system, you must defend your master’s thesis by March 10 of your third year. *No exceptions are made to this rule, for any reason.*

  April. Apply for and obtain Community Placement position (12-16 hours/week) at a site approved by the DCT. (Must be obtained by April; applications are typically due sometime between December and March but vary by site.)

  August. If you do not earn your MS by August of your third year, you are no longer in good standing in the program. You must appeal to the Graduate Program Committee to request an additional year to avoid being terminated for lack of progress.

• Calendar year of applying for internship

  February 1. Submit completed preliminary exam to your committee chair.

  May 1. Pass preliminary exam. Failure to submit and successfully pass the preliminary exam by May 1 of the calendar year in which the student applied for internship will preclude the student from applying for internship that year.


• Year 4

  May. Complete 2 semesters of 12-16 hours weekly Community Placement.

  For students who did not pass prelim by Spring of Year 4

  Students who do not pass their preliminary exam (prelim) by the Spring semester of their fourth year (and therefore by default will not be applying for internship in the Fall of their
fifth year) must develop a plan of study with their adviser for their fifth and sixth years in the program. This plan, which may include both research and clinical experiences, must be submitted to the Clinical Training Committee (CTC) for review and approval by May 1 of the student's fourth year.

- **Year 5**

  August. Graduate School regulations stipulate that you must have passed your preliminary exam. If you have not yet passed your preliminary exam, you must file an exception form with the Graduate School to avoid being terminated for lack of progress.

- **Year 8**

  August. Departmental rules stipulate that the PhD must be earned within 8 years of enrolling. If your PhD dissertation has not yet been defended, you are no longer in good standing. To avoid being terminated for lack of progress, you must appeal to the Graduate Program Committee to request an additional year.

- **Year 10**

  August. Graduate School regulations stipulate that the PhD must be earned within 10 years of enrolling. You must deal directly with the Graduate School if you wish to apply for an extension of this time limit, which is strictly enforced.
DEFINITION OF UNSATISFACTORY PROGRESS

Students are not in good standing if they have any of the following 13 problems:

• Academic misconduct. Any student found guilty of academic misconduct (e.g., cheating, plagiarism, use of unauthorized materials in completing a take-home exam, etc.) may be terminated from the program.

• No major professor. You can't go for more than a year without a major professor, if you decide to change major professors.

• Lack of a full committee. You must have a full master's thesis committee or doctoral dissertation committee, unless you are in transition because you're changing major professors.

• Lack of continuous enrollment.

• Cumulative grade point average below 3.0. The Graduate School will place you on academic probation and block you from registering for courses unless you maintain a 3.0 cumulative GPA.

• Any two grades of B- or below. Note: B is the minimum acceptable grade. You will be required to repeat any course in which you earn a grade below B. The Graduate School will issue a warning every time you get a grade below B.

• An indication from the major professor on the annual review form that you are not making satisfactory progress in research.

• Failure to earn your MS within three years of enrolling. Leaves of absence are counted in determining whether this criterion was met. That is, applying for a leave of absence does not stop the clock.

• Failure to pass the preliminary exam within five years of enrolling.

• Failure to earn the PhD within eight years of enrolling. Leaves of absence are counted in determining whether this criterion was met. That is, applying for a leave of absence does not stop the clock.

• A majority vote of the Clinical Training Committee that you have engaged in unethical behavior as defined by APA guidelines.

• A majority vote of the Clinical Training Committee that you lack professionalism.

• A majority vote of the Clinical Training Committee that you lack the clinical skills necessary to be an effective therapist. Dismissal may be recommended, for example, if
you consistently do poorly in your community placement, are dismissed from a community placement, or if you fail to complete your clinical internship (by either withdrawing or being dismissed).

**MECHANISMS OF REVIEW**

Students are evaluated and receive feedback letters from the Graduate Program Coordinator and from the Clinical Training Committee every year.

The Graduate Program Coordinator conducts the evaluation of all departmental doctoral students in May through September. Evaluation forms will be distributed for this purpose, and must be returned promptly. Students and major professors must meet with each other to fill out the evaluation form; both must sign it. All feedback letters will be placed in the student's file, with copies sent to the major professor.

The Clinical Training Committee conducts an evaluation of your performance in the Program at the end of the Fall and Spring semesters. In each review, your performance in clinical courses, practical training, research, collegiality, and professional development are reviewed and discussed among the clinical faculty. After the fall semester, verbal feedback will be given to you by your adviser or the DCT. If there are any particular issues that the CTC feels you need to address immediately, the DCT will send a letter outlining the concerns and discussing possible strategies for addressing the concerns. After the spring semester, the DCT will send a letter to you, put a copy in your permanent file and send one to your adviser. The letter will note progress in the program and again, if there are concerns, they will be discussed in the letter. Please note that feedback in a letter is meant to be instructive, and not punitive. The review process is put in place as a protection for you, to make sure you are progressing through the program and are being trained as a successful scientist-practitioner.

Faculty members meet regularly to discuss student progress. The DCT also maintains two-way, open communication with your practicum supervisors. Because you are a student in a clinical psychology training program, supervisors cannot guarantee confidentiality of information gained in supervision if it is relevant to your overall progress in the program.

Students may be dismissed if they make unsatisfactory progress in the program, as defined above. Any student in danger of being dismissed for unsatisfactory progress will be notified in writing and given the opportunity to speak on his or her own behalf at a meeting of the Clinical Training Committee, which will then go into closed session to discuss the case and make a recommendation to the Department. A majority vote of the Department's faculty will be required before a recommendation can be made to the Graduate School to terminate a student. Students will be informed that they are being considered for termination and will be invited to the Department meeting to speak on their own behalf.

In cases where problems are noted by the Clinical Training Committee and/or the Graduate Program Committee, the feedback letters sent to the student will indicate areas that need improvement, and will specify the actions that need to be taken to clear up the problems.
A pattern of unsatisfactory evaluations, e.g., two consecutive evaluations in which unsatisfactory progress was noted, may be taken as grounds for dismissal. For this reason, it is extremely important to correct any problems immediately.

**TERMINATING THE ADVISER-ADVISEE RELATIONSHIP**

A student may switch to another major professor at any time for any reason, without penalty or retaliation. Please see the Graduate Program Coordinator for assistance if you are thinking about switching major professors. You are not in good standing if you do not find a new major professor within a year of having left your former major professor.

Based on a student's unsatisfactory progress, a faculty member may stop serving as a student's major professor at any time. Independent of a student's progress, a faculty member may stop serving as the student’s major professor if the decision is made before the end of the student's third year or an equivalent duration in the adviser-advisee relationship.

**SOCIAL MEDIA POLICY**

All clinical students must strictly adhere to the UWM Clinical Psychology PhD Program Social Media Policy, which can be found on pp. 38-40. Please read the policy very carefully.

**TITLE IX**

The following information is copied from UWM’s Title IX website: [http://uwm.edu/titleix/](http://uwm.edu/titleix/). Title IX is a federal law that prohibits sex discrimination. Sex discrimination includes sexual harassment, sexual violence, and any other sex-based misconduct, relationship violence, discrimination based on pregnancy, and the failure to provide equal opportunity in all areas of schooling such as admissions, educational programs or activities, and athletics.

In compliance with Title IX, UWM will not tolerate discrimination or harassing conduct that is based on a protected status. The University’s Discriminatory Conduct Policy, S-47 DISCRIMINATORY CONDUCT POLICY (Including Sexual Harassment and Sexual Violence), prohibits, among other forms of discrimination, sex-based discrimination. Sex-based discrimination is conduct that (1) adversely affects any aspect of an individual’s employment, education or participation in activities or programs at UWM; and (2) is based on sex, including gender identity or expression. Sexual harassment is a form of prohibited discrimination and is defined as unwanted conduct of a sexual nature that unreasonably interferes with the individual’s work, education or participation in activities or programs at UWM or creates a working or learning environment that a reasonable person would find threatening or intimidating. Sexual harassment may be of any type including oral, written or physical. Sexual violence is also a form of prohibited discrimination, and UWM defines
sexual violence as physical sexual acts perpetrated against a person’s will or where a person is incapable of giving consent.

UWM’s Discriminatory Conduct Policy also prohibits retaliation. Retaliation is an adverse action made as a result of an individual’s complaint about conduct prohibited by the University’s Discriminatory Conduct Policy or participation in enforcement of this Policy. Protected activities include reporting discrimination or serving as a witness in an investigation in connection with the same.

Some examples of conduct prohibited by Title IX and UWM’s Discriminatory Conduct Policy include but are not limited to:

- Insults about, directed at, or made in the presence of an individual or group based on sex and/or gender identity or expression.
- Physical contact or verbal threats based on sex and/or gender identity or expression.
- Sexual jokes, language, epithets, advances or propositions.
- Comments about a person’s body, sexual orientation, sexual prowess or gender identity or expression.
- Touching, whistling, leering, brushing against the body, or suggestive, insulting, or obscene comments or gestures of a sexual nature.
- Requesting sexual favors in exchange for assignments, promotions, grades or promises of the same.
- Stalking.
- Cyber-stalking or cyber-bullying.
- Rape, sexual battery, sexual assault or sexual violence. This includes sexual contact without consent.
- Dating Violence
- Domestic Violence

**SOME USEFUL UWM GRADUATE SCHOOL LINKS**

Overall policies page:
http://graduateschool.uwm.edu/students/policies/

Appeals, Grievances, and Exceptions Requests:
http://graduateschool.uwm.edu/students/policies/appeals-exceptions/

Appeal of Academic Decisions:
http://graduateschool.uwm.edu/students/policies/appeals-exceptions/academic-decisions/
Academic Misconduct Procedures:
http://graduateschool.uwm.edu/students/policies/appeals-exceptions/academic-misconduct/

Masters Requirements:
http://graduateschool.uwm.edu/students/current/masters/

Doctoral Requirements:
http://graduateschool.uwm.edu/students/current/doctoral/

Administrative and Financial Assistance:
http://graduateschool.uwm.edu/students/financial-support/
## TEACHING ASSISTANT APPOINTMENTS

### PRIORITY SYSTEM

Incoming (first-year) doctoral students have the highest priority for TAships, followed by second-year doctoral students, etc. Note that doctoral students in their 7th year or beyond (6th year or beyond for those who entered with the MS) are in Priority 9. **Important: The department reserves the right to deny TAships to students who are not in good standing.**

<table>
<thead>
<tr>
<th>Priority</th>
<th>Student's Current Year</th>
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</thead>
<tbody>
<tr>
<td>1</td>
<td>1st year doctoral students (incoming students) (with either BS or MS)</td>
</tr>
<tr>
<td>2</td>
<td>2nd year doctoral students (with either BS or MS)</td>
</tr>
<tr>
<td>3</td>
<td>3rd year doctoral students (with BS)</td>
</tr>
<tr>
<td>4</td>
<td>4th year doctoral students who defended MS by March 10 of their 3rd year (and 3rd year students who entered with MS in psychology with thesis)</td>
</tr>
<tr>
<td>5</td>
<td>5th year doctoral students who defended MS by March 10 of their 4th year (and 4th year students who entered with MS in psychology with thesis)</td>
</tr>
<tr>
<td>6</td>
<td>6th year doctoral students who defended MS by March 10 of their 5th year (and 5th year students who entered with MS in psychology with thesis)</td>
</tr>
<tr>
<td>7</td>
<td>4th year doctoral students who failed to defend MS by March 10 of their 3rd year</td>
</tr>
<tr>
<td>8</td>
<td>5th year doctoral students who failed to defend MS by March 10 of their 4th year</td>
</tr>
<tr>
<td>9</td>
<td>All other psychology doctoral students</td>
</tr>
<tr>
<td>10</td>
<td>Psychology master's specialization students</td>
</tr>
<tr>
<td>11</td>
<td>All others (non-psychology graduate students, psychology undergraduates)</td>
</tr>
</tbody>
</table>
WORK CONDITIONS

The standard appointment is .50 FTE, for approximately 20 hours per week. The appointment ordinarily starts one to two weeks prior to the start of the academic semester, lasts the 14 or 15 class weeks of the semester, and continues for one week after finals at the end of the semester. Note that TAs are not permitted to appear the day before classes begin and disappear the day after classes end; they must be on campus and prepared to work throughout the entire contractual period.

The Graduate School publishes a set of guidelines for making appointments, which the Department follows.

TUITION REMISSION APPLIES ONLY WHILE YOU ARE A TA, PA, RA, OR FELLOW

Students are reminded that tuition remission applies only while you are a teaching assistant (or project assistant or research assistant or fellow). If you do not have a TAship or other such appointment, you must pay tuition, and if you are not a Wisconsin resident, you must pay it at the out-of-state rate. The registrar, not the Department, determines resident status. Resident status is rarely granted if students were not Wisconsin residents when they initially enrolled in the graduate program at UWM, regardless of how many years they have lived here while attending graduate school.

TEACHING ASSISTANT DUTIES AND RESPONSIBILITIES

The department assigns teaching assistants as classroom or online TAs and, occasionally, as graders. The difference between the two is that classroom or online TAs provide instruction and hold office hours, whereas graders do not. Otherwise, the responsibilities are likely to be the same: keeping records of grades, grading exams, grading papers, photocopying and collating exams, etc. The faculty member or instructor in charge of the course assigns responsibilities and sets policy. TAs absolutely cannot cancel sessions, change classrooms, or change grades without permission of the faculty member or instructor teaching the course.

TAs are reminded that student grades are confidential. Papers or tests cannot be handled in any way that discloses the student's score to others. Proper care should be taken in posting grades and in handing back graded papers. No part of a student's name or campus ID number should ever be used in posting grades. The order of posted grades should never be by alphabetical order of students' names.

Consistent with Title IX, interactions with students are to be on a professional level. Amorous or romantic relationships with students in your discussion or laboratory sections, even if ostensibly consensual, are not allowed. The asymmetrical power status of the student and the TA may lead, even if unintended, to problems of abuse of authority and harassment.
Even ostensibly "social" relationships have the potential for misunderstanding, so long as the student-TA situation exists. Similarly, off-color jokes in classes, as well as derogatory or demeaning comments related to race, ethnicity, sexuality, sexual orientation, or gender, even in an attempt that you mean only as humorous, interfere with the educational process, and hence are not permitted. All students have the right to be treated with courtesy and dignity in an educational environment. Severe legal problems may result from the violation of these principles.

**DISPUTES, COMPLAINTS, AND APPEALS**

From time to time, differences in opinion may arise among students and faculty on a wide variety of matters. The Department has a formal procedure to attempt to resolve such differences; the procedure is listed below. However, if you wish to pursue a more informal procedure, please contact the Graduate Program Coordinator, DCT, or Ombudsperson for assistance. Please feel free to contact any or all of them to discuss anything that is of concern to you in your graduate career.

Here is the department's formal procedure:

**Step 1:** The student discusses the grievance/appeal with the faculty member within 30 days from the date of the action that prompted the grievance/appeal.

**Step 2:** The student may appeal the Step 1 decision to the department chairperson within 10 working days from the Step 1 decision. The chair may attempt to mediate the problem.

**Step 3:** If the problem cannot be successfully mediated by the chair, he or she refers the problem to the Graduate Program Committee.

**Step 4:** The student may appeal the decision of the Graduate Program Committee to the departmental faculty as a whole. The student has the right to present his or her case to the faculty at a special department meeting called for this purpose. The student has the right to bypass Step 4 if he or she wishes.

**Step 5:** The student may appeal the Step 3 or Step 4 decision to the Graduate School's Associate Dean. The appeal to the Graduate School should be filed within 10 working days of notification of the decision from Step 3 or Step 4.

Consistent with Title IX, UWM has specific policies against harassment, whether it is sexual or any other form. As noted above, all students, including graduate students, deserve to be treated by others with professional respect. Similarly graduate students must treat any others, such as undergraduates and faculty, with professional respect. The appropriate guideline is for everyone to be professional in their interactions with others. As long as professional regard and mutual respect rule the day, problems can usually be avoided.
SHEETS FOR KEEPING TRACK OF YOUR PROGRESS

MASTER’S LEVEL STATUS SHEET

1. Form MS committee
2. Select thesis topic
3. Thesis proposal hearing passed
4. Apply to Graduate School for MS graduation
5. Defense of thesis passed
6. Format and submit thesis to Graduate School
7. MS officially awarded

BY APRIL OF YEAR 3:
Apply for and obtain community placement.

DOCTORAL LEVEL STATUS SHEET

1. Form PhD committee
2. Preliminary exam passed
3. File Preliminary Examination Application via Online Doctoral Milestones system
4. Apply to Graduate School for dissertator status via Online Doctoral Milestones system
5. Dissertation proposal hearing passed
6. File Doctoral Dissertation Proposal Hearing Form with Graduate School via Online Doctoral Milestones system
7. Apply for internship
8. Email the Graduate Program Coordinator at least two weeks in advance of scheduled defense to request a defense warrant
9. Dissertation defense passed (Bring warrant to the defense; return signed warrant to Graduate Program Coordinator immediately)
10. Format and submit dissertation to Graduate School
11. Go on internship
12. Apply to Graduate School for graduation (on PAWS)
13. PhD officially awarded
The Association of Graduate Students in Psychology (AGSIP) is a fully chartered UWM student organization that was started in 1999. All graduate students in the psychology department are members, and there is no membership fee. The purpose of AGSIP is to facilitate and promote the research and professional development of graduate students in psychology. In order to meet this goal, AGSIP organizes an annual symposium (usually in April) for graduate students to present their research. The event also includes a featured guest speaker and reception. Election of officers occurs every October. Any AGSIP member can run for president, vice president, secretary, or treasurer.

GOING BEYOND THE REQUIREMENTS

This handbook has focused on the minimum course and program requirements you must satisfy to earn a PhD in our department. However, if you really want to be employable once you have that PhD, you must do far more than what is described in this handbook. In particular, if your career goal is to be a professor in a psychology department, just having completed a thesis and a dissertation is not likely to get you a tenure-track faculty job, and will probably not even get you a postdoctoral position. The academic job market is intensely competitive; jobs and postdocs go to those who have published several research articles in respected journals by the time they earn their PhDs. Timely progress in the graduate program, teaching experience, and depth and breadth of knowledge are also important for success in the academic job market. For an informative overview of the realities of obtaining and keeping a job in academia, read The Compleat Academic, 2nd edition, copyright 2003, edited by Darley, Zanna, and Roediger (ISBN 978-1591470359). There are also myriad web sites containing a wealth of career information, and many of these links are listed at:
http://people.uwm.edu/suelima/links/

For students whose career goal is clinical practice, breadth and depth of training are similarly critical. Internship directors are increasingly looking for students with impressive research credentials and with more than the minimum of 1000 hours of experience (500-600 direct hours), although many faculty believe that greater than 2500 hours of clinical experience is unnecessary, especially if the additional hours are at the expense of a solid record of research presentations and publications. Internship directors are also looking for students with experience in a variety of settings, and in settings relevant to the particular internship. As the field of psychology is a rapidly changing one, the forward-looking student will try to gain a diversity of course and training experiences to be prepared for future changes.
Students will have two options for completing the Clinical Preliminary Exam Requirement. The Preliminary Requirement must be completed by May 1 of the calendar year in which the student submits application for internship. Failure to do so will preclude the student from applying for internship that year. Students can choose from either option listed below.

**Published Article**

Students can meet the requirements for the clinical preliminary exam by publishing an article in a peer-reviewed publication, providing the publication meets the following criteria.

1. The applicant student is the first author of the paper.
2. The applicant student will provide a list of every co-author on the paper and describe his or her role. The DCT will decide who the student should contact to obtain written confirmation that the first author applicant had primary responsibility for the intellectual contents of the paper. The identified co-author(s), must send this written confirmation directly to the DCT.
3. The paper was published in a peer-reviewed journal indexed in either Psychinfo or PubMed.
4. The paper must be a data-based article, critical literature review, or meta-analysis. Commentaries, letters to the editor, and book reviews are not permissible.
5. The student was enrolled in the Clinical Program at UWM when the work was conducted. The article is accepted with revisions (letter from journal editor must be provided), in-press, or published at the time of submission for meeting the preliminary examination requirement.

Students wishing to fulfill the preliminary exam requirements through this method will be asked to submit the paper and supporting documents (letters from co-authors must be submitted directly to DCT) to the DCT, who will determine whether the requirements have been fulfilled. If the student is an advisee of the DCT, a designate of the CTC will serve in the DCT’s capacity for that student. Within 2 weeks of all materials being received, the DCT will inform the student that the paper fulfills the requirements or does not.
determines the paper does not meet the requirements, he/she will inform the students of which criteria were not fulfilled. Students can then appeal to the CTC as a whole within 1 month of being notified of the DCT’s decision. The CTCs decision will be final. Students whose paper is deemed to have not met the requirements will have the option of utilizing the Comprehensive Critical Literature Review option described below.

**Comprehensive Critical Literature Review Option**

**Guidelines**

This option allows students to fulfill the clinical preliminary examination requirement by completing an independent written product. The intent is not to engage in actual clinical work or data collection. The manuscript should integrate and critically analyze the relevant literature to provide a foundation for empirical work. It is likely that this project will lay the framework for a dissertation proposal but it does not need to.

**Role of the Adviser**

In keeping with the development of independent scholarship, it is expected that this will be largely a mentor-independent project by the student (more of an independent project than the thesis). Nonetheless, some input from the adviser at the development stage is still important. The mentor should have input in and approve a detailed outline and preliminary reference list. Once this outline and reference list is approved by the mentor, no further input from the mentor should take place until the preliminary examination is completed.

**Preliminary Examination Committee**

The preliminary examination committee should include any clinical faculty CTC members who will be members of the student’s dissertation committee. Experimental faculty members, adjunct faculty, and dissertation committee members outside the university, are welcome to participate but are not required. The prelim committee must be made up of at least three clinical faculty members and one of the clinical faculty must Chair the committee. There must be an odd number of members of the committee. If more committee members are needed to achieve the 3 person clinical faculty requirement, then the student, in consultation with his or her adviser, should invite additional faculty members to participate in the preliminary examination process. If the student’s primary research adviser is not a clinical faculty member of the CTC, the student should choose a clinical faculty member of the CTC to serve as the Chair of the preliminary examination committee.

**Process**

The completed preliminary exam must be submitted to the committee chair no later than February 1 of the calendar year in which the student applies for internship. Failure to submit and successfully pass the preliminary exam by May 1 of the calendar year in which the
The student applied for internship will preclude the student from applying for internship that year.

Faculty on the committee will utilize the attached criteria to review the finished product. After reviewing the paper, each committee member will always have 1 month to review the exam and provide one of three votes (i.e., Pass, Revise, Fail). The Chair of the committee will tally the votes and follow the following guidelines to determine the final decision with respect to the preliminary exam.

There are 3 possible outcomes: a) Pass; b) Revise; c) Fail:

**Pass:** If examination is considered acceptable by the majority of the committee, the student passes the preliminary examination. If the student does not pass with a majority vote, then the committee members will be asked to re-vote with only two options, “Revise” or “Fail.” In such a case, the majority vote between these two options will determine the outcome of the preliminary exam. In the case of a “Pass” each committee member will prepare brief written feedback that will be provided directly to the student.

**Revise:** In the case of a decision to “Revise”, the committee members will provide written feedback regarding the changes needed and a mutual decision will be made among the committee members about whether to have the major professor approve of revisions, to have all committee members review written revisions, and/or to hold an oral examination meeting. The chair of the student’s preliminary examination committee will provide written feedback to the student based on comments provided by the committee members. Revisions must be resubmitted no later than April 1 of the calendar year in which the student is applying for internship. If the student receives does not receive a “Pass” on the revised document, he or she will have to write a new paper. Failure to pass with a new paper will result in the student being removed from the program.

**Fail:** A failing outcome is appropriate if substantial revisions to the document are deemed necessary by the majority of the committee. If the student fails the first resubmission of the preliminary examination, the chair of the student’s preliminary examination committee will provide written feedback to the student based on comments provided by the committee members. Revisions to the written examination are then required. The student and mentor will then meet to review the feedback provided and the student should then draft a summary of the changes they will make (e.g., additional areas of the literature to include, specific studies/topics to add if a particular section was included but not covered sufficiently, a plan for re-organization, more thorough coverage of future areas for research and what specific future research could be done, new citations to add, and so on). At this stage, the
student can review this information with the faculty mentor and receive input from the faculty mentor. Once the faculty mentor signs off on this plan of action then the actual writing should again be done without the mentor. Possible results of the repeated preliminary examination are a) Pass or b) Fail. If the student fails a repeated preliminary examination, then the student cannot continue in the Clinical program.

(continued on next page)
### Criteria for Grading Clinical Preliminary Exam
**Comprehensive Critical Literature Review Option**

<table>
<thead>
<tr>
<th>CRITERION</th>
<th>PASS</th>
<th>FAIL</th>
</tr>
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<tbody>
<tr>
<td>1. Paper had a clear focus and expressed purpose</td>
<td></td>
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<tr>
<td>2. Paper integrated two or more different literatures</td>
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<tr>
<td>3. Paper adequately sampled the breadth of literature on a topic and did not omit important studies in an area</td>
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<td>4. Paper considered alternative theories/explanations</td>
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<tr>
<td>5. Paper demonstrated ability to critically review and synthesize the literature (e.g., considered implications of research designs utilized in reviewed studies, presented possible explanations for why disparate findings occur)</td>
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<tr>
<td>6. Paper made conclusions for future research that followed logically from presented review</td>
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<tr>
<td>7. Paper attempted to demonstrate relevance of work to psychopathology, treatment or assessment</td>
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<tr>
<td>8. Paper was well-organized</td>
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<tr>
<td>9. Paper was in current APA style and contained few spelling/grammatical mistakes, acceptable sentence structure</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

8/9 items with “Pass” vote = PASS  
<5/9 items with “Pass” vote = FAIL

**FINAL VOTE:**  
PASS  
REVISE

**Recommended Next Step (circle one):**  
- Major Professor to review revisions  
- Full committee review of written document  
- Oral examination meeting

FAIL
Preamble

As members of the community of the University of Wisconsin – Milwaukee (UWM), we treasure academic freedom and the principles of freedom of speech and freedom of association. We further encourage activism for strongly held causes. At the same time, we recognize that faculty and students of the UWM clinical psychology program fill multiple roles that bring with them legal and ethical obligations, such as the need to protect student and client privacy and confidentiality and respect for the ownership of research data. Moreover, we endorse the APA position on the importance of respect for human dignity and diversity. Finally, as mentors and advisers, we recognize that effective career management involves making wise decisions about how we present ourselves to others and that indiscriminate disclosure can have unintended negative consequences for individuals and their careers.

The purpose of this policy is to provide a series of standards and guidelines designed to help faculty and students that are consistent with the various principles, values, and concerns noted above with respect to the use of social media broadly construed. These standards and guidelines are presented in addition to the American Psychological Association’s Ethical Principles of Psychologists and Code of Conduct and are not intended to supersede it. Violation of these standards and guidelines may inform the formal review of students by faculty. Individual faculty are encouraged to develop additional policies, guidelines, and standards as they apply to their own research, teaching, and clinical activities and disseminate this information to their staff and students.

Definitions

The following key definitions will be used for the purposes of this policy:

The term social media is meant to include, but not be limited to, such media and activities as Facebook, My Space, Linked In, Research Gate, Twitter, Vine, blogs, vlogs, and various mechanisms for crowd sourcing of information gathering and crowd funding.

The term client refers to anyone seeking or receiving clinical services (e.g., assessments, therapy, consultation) regardless of where the person is seen (e.g., UWM, community placements, the Cyberschool). Moreover, the term covers not just those individuals for whom you are directly providing services, but also those individuals for whom your involvement is only indirect, such as in group supervision, when in the UWM clinic, and when on community placements.

Participants are individuals who provide information (“data”) in the course of a research study, regardless of the type of information provided and location of where or how the information is collected, and regardless of the institutional affiliation of the study’s Primary Investigator.

The term standard refers to a rule that is always enforced. Standards are to be followed and serve as a code of conduct.
The term *guideline* refers to an aspirational means of advice and as the word implies, guidance. While guidelines should be taken seriously and followed, they do not entail hard and fast rules. Guidelines are not always rigorously enforced, although they may be, depending on the situation.

**Standards and Guidelines**

When using social media, members of the UWM Clinical Psychology program are expected to conform to the following *Standards*:

1. Maintain the privacy and confidentiality of clients.
2. Maintain the privacy and confidentiality of student records.
3. Respect the ownership of data by the relevant Principal Investigators (PI) and do not divulge unpublished results without the permission of the PI.
4. Respect standards regarding the ownership and security of privileged test materials (e.g., not distributing the content of WAIS items).

In addition, the following *Guidelines* are provided to help members of the UWM Clinical Psychology to avoid legal and ethical difficulties.

1. Do no harm. For the purposes of this guideline, the term *harm* is meant to be broadly applied to include all clients, students, and research participants, as well as society at large. Moreover, we should avoid defamation of the profession of psychology, although this is in no way intended to restrict legitimate criticism of the field of psychology.
2. Understand and adhere to legal and ethical obligations related to client confidentiality (e.g., consult HIPAA standards, the APA ethics manual).
3. Understand and adhere to legal and ethical obligations related to student confidentiality (e.g., consult FERPA standards).
4. Understand and adhere to ethical standards with regard to ownership of research data (e.g., consult the APA ethics manual).
5. Avoid unnecessary dual relationships; take steps to manage unavoidable dual relationships.
6. Do not misrepresent yourself or your credentials, or knowingly permit others to do so.
7. When appropriate, take steps to erect boundaries between your personal and professional roles so as to prevent confusion between, for example, your personal beliefs and actions, and your professional beliefs and actions. Keep in mind that others who may be evaluating you for professional positions such as community
placements, internships, post-doctoral fellowships, and jobs may search social media sites to learn more about you.

8. Periodically review your social media presentations to consider whether they accurately portray you and take remedial steps if appropriate.

9. Seek consultation whenever the appropriate action is unclear and especially in situations in which you are not aware of the existence of well established procedures, standards, or specific guidelines.

Breach of one of the standards will result in some form of reprimand, corrective action, or other disciplinary procedure. Breach of one of the guidelines may result in some form of reprimand, corrective action, or other disciplinary procedure.